

Emory University  
**CS 463 Quantum Computing & Information**  
Learning Notes

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April 19, 2026

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# 1 Qubits and Quantum Circuits

## 1.1 Qubits

- Quantum computers manipulates qubits (quantum bits)

1. To review, what's a classical bit (binary bit)? 0 or 1.
2. How to represent whole numbers with 0's and 1's?

$$(26)_{10} = 16 + 8 + 2 = (11010)_2$$

3. Count in binary: 000, 001, 010, 011, 100, 101, 110, 111.

- Qubits can be in superposition of  $|0\rangle$  and  $|1\rangle$ :

$$\alpha |0\rangle + \beta |1\rangle,$$

where  $|\alpha|^2 + |\beta|^2 = 1$  (normalization).  $\alpha$  and  $\beta$  are called *probability amplitudes* and are complex numbers. Hence,  $|\alpha|^2 = \alpha\alpha^*$ .

### Example 1.1.1

$A(\sqrt{2}|0\rangle + i|1\rangle)$ . Find  $A$ , given that the expression is in normalized state.

#### Solution 1.

$$\alpha = A\sqrt{2} \quad \text{and} \quad \beta = Ai,$$

So,

$$|\alpha|^2 = (A\sqrt{2})^2 = 2|A|^2, \quad |\beta|^2 = (Ai)^2 = |A|^2.$$

Then,

$$|\alpha|^2 + |\beta|^2 = 2|A|^2 + |A|^2 = 1$$

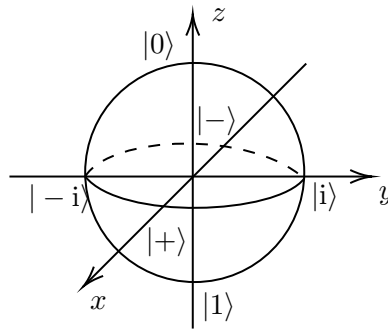
$$3|A|^2 = 1$$

$$|A|^2 = \frac{1}{3}$$

$$A = \pm \frac{1}{\sqrt{3}}, \pm \frac{i}{\sqrt{3}} = \frac{e^{i\theta}}{\sqrt{3}}.$$

□

- Bloch Sphere:



$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

$$|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$$

$$|\pm i\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm i|1\rangle).$$

- What happens when we measure a qubit?

We get one of the two results at opposite points of the Bloch sphere. For example, we get  $|0\rangle$  or  $|1\rangle$  when measuring along the  $z$ -direction of the Bloch sphere. This is called measuring the  $z$ -basis or *computational basis* and is our default.

- If the qubit state is  $\alpha|0\rangle + \beta|1\rangle$ :
  1.  $|\alpha|^2 =$  probability of obtaining  $|0\rangle$ , and
  2.  $|\beta|^2 =$  probability of obtaining  $|1\rangle$ .

After the measurement, the state is  $|0\rangle$  or  $|1\rangle$ . Measurement *collapses* the state.

### Example 1.1.2 Probability of Measurement

If the initial state is  $\frac{2}{3}|0\rangle + \frac{1-2i}{3}|1\rangle$ , then

1. probability of measuring  $|0\rangle$  is  $\left(\frac{2}{3}\right)^2 = \frac{4}{9}$ , and
2. probability of measuring  $|1\rangle$  is

$$\frac{1-2i}{3} \cdot \frac{1+2i}{3} = \frac{1-(2i)^2}{9} = \frac{5}{9}.$$

**Remark.**  $|a + bi|^2 = a^2 + b^2$ .

**Example 1.1.3 Change Measurement Basis**

Determine probabilities of results if qubits state is  $\frac{\sqrt{3}}{2}|0\rangle + \frac{1}{2}|1\rangle$  if this qubit is measured in the  $x$ -basis.

**Solution 2.**

Write the state in terms of  $|+\rangle$  and  $|-\rangle$ :  $\alpha|+\rangle + \beta|-\rangle$ . Recall:

$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \quad \text{and} \quad |-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$$

So,

$$\begin{aligned} |+\rangle + |-\rangle &= \sqrt{2}|0\rangle \quad \text{and} \quad |+\rangle - |-\rangle = \sqrt{2}|1\rangle \\ |0\rangle &= \frac{1}{\sqrt{2}}(|+\rangle + |-\rangle) \quad \text{and} \quad |1\rangle = \frac{1}{\sqrt{2}}(|+\rangle - |-\rangle). \end{aligned}$$

Then,

$$\begin{aligned} \frac{\sqrt{3}}{2}|0\rangle + \frac{1}{2}|1\rangle &= \frac{\sqrt{3}}{2} \cdot \frac{1}{\sqrt{2}}(|+\rangle + |-\rangle) + \frac{1}{2} \cdot \frac{1}{\sqrt{2}}(|+\rangle - |-\rangle) \\ &= \frac{\sqrt{3}+1}{2\sqrt{2}}|+\rangle + \frac{\sqrt{3}-1}{2\sqrt{2}}|-\rangle. \end{aligned}$$

□

- Consecutive Measurements: Hesseberg Uncertainty Principle

A qubit  $\alpha|0\rangle + \beta|1\rangle$  is measured in  $z$ -basis, then  $x$ -basis, then  $z$ -basis.

1.  $z$ -basis: probability of  $|0\rangle$  is  $|\alpha|^2$ ; probability of  $|1\rangle$  is  $|\beta|^2$ .
2.  $x$ -basis: after  $z$ -basis measurement, state is either

$$|0\rangle = \frac{1}{\sqrt{2}}(|+\rangle + |-\rangle) \quad \text{or} \quad |1\rangle = \frac{1}{\sqrt{2}}(|+\rangle - |-\rangle).$$

In either case, the probability of  $|+\rangle$  is  $\frac{1}{2}$ , and the probability of  $|-\rangle$  is  $\frac{1}{2}$ .

3.  $z$ -basis: state is either

$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \quad \text{or} \quad |-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle).$$

The probability of  $|0\rangle$  is  $\frac{1}{2}$ , and the probability of  $|1\rangle$  is  $\frac{1}{2}$ .

**Definition 1.1.4 (Global Phase and Relative Phase).**

- Global phase:

$$e^{i\gamma}(\alpha|0\rangle + \beta|1\rangle),$$

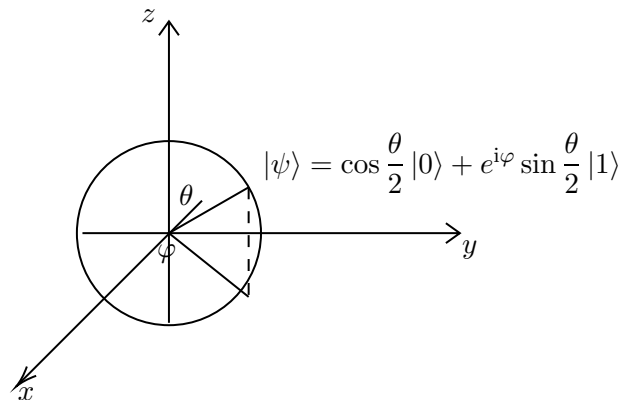
where  $e^{i\gamma}$  has no measurable effects. We can ignore/drop it.

- Relative phase:

$$\alpha|0\rangle + e^{i\varphi}\beta|1\rangle,$$

where  $e^{i\varphi}$  can be detected. [For example, compare the following:  $|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$  and  $|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$ ]

- Map any Qubit to Bloch Sphere:



[For example,  $|0\rangle$  corresponds to  $\theta = 0$  and  $\varphi = 0$ ;  $|1\rangle$  corresponds to  $\theta = \pi$  and  $\varphi = 0$ .]

**Example 1.1.5**

Find  $\theta$  and  $\varphi$  for  $\frac{3 + i\sqrt{3}}{4}|0\rangle - \frac{1}{2}|1\rangle$ .

**Solution 3.**

- Need coefficient of  $|0\rangle$  to be real.  $\left(\cos \frac{\theta}{2}|0\rangle + e^{i\varphi} \sin \frac{\theta}{2}|1\rangle\right)$ .
- Write  $\frac{3 + i\sqrt{3}}{4}$  in polar form  $re^{i\gamma}$  (length and angle in complex plane).

$$r = \sqrt{\frac{9}{16} + \frac{3}{16}} = \sqrt{\frac{12}{16}} = \sqrt{\frac{3}{4}} = \frac{\sqrt{3}}{2}$$

$$\sin \gamma = \frac{\sqrt{3}/4}{\sqrt{3}/2} \implies \gamma = \frac{\pi}{6}.$$

So,

$$\frac{3 + i\sqrt{3}}{4} = \frac{3}{2}e^{i\frac{\pi}{6}}.$$

– Substitute, and factor  $e^{i\frac{\pi}{6}}$  as a global phase:

$$\frac{3}{2}e^{i\frac{\pi}{6}}|0\rangle - \frac{1}{2}|1\rangle = e^{i\frac{\pi}{6}}\left(\frac{\sqrt{3}}{2}|0\rangle - \frac{1}{2}e^{-i\frac{\pi}{6}}|1\rangle\right).$$

In  $\cos\frac{\theta}{2}|0\rangle + e^{i\varphi}\sin\frac{\theta}{2}|1\rangle$ , we have “+” in front of  $|1\rangle$ . So, use  $-1 = e^{i\pi}$ . Drop global phase, we have

$$\frac{\sqrt{3}}{2}|0\rangle + e^{i\pi}\frac{1}{2}e^{-i\frac{\pi}{6}}|1\rangle = \frac{3}{2}|0\rangle + \frac{1}{2}e^{i\frac{5\pi}{6}}|1\rangle$$

– Compare expressions:

$$\begin{cases} \frac{\sqrt{3}}{2} = \cos\frac{\theta}{2} \\ \frac{1}{2} = \sin\frac{\theta}{2} \\ \frac{5\pi}{6} = \varphi \end{cases} \implies \frac{\theta}{2} = \frac{\pi}{6} \implies \theta = \frac{\pi}{3} \implies \begin{cases} \theta = \frac{\pi}{3} \\ \varphi = \frac{5\pi}{6} \end{cases}.$$

□

## 1.2 Quantum Gates

- Classical gates act on classical bits. *[For example, classical NOT gate].*

Quantum gates act on qubits.

### Example 1.2.1

Define gate  $U$  by its action on basis states:

$$U|0\rangle = \frac{\sqrt{2}-i}{2}|0\rangle - \frac{1}{2}|1\rangle \quad \text{and} \quad U|1\rangle = \frac{1}{2}|0\rangle + \frac{\sqrt{2}+i}{2}|1\rangle.$$

One can confirm:  $U$  keeps normalization and linearity.

Quantum gates are *linear*:

$$U(\alpha|0\rangle + \beta|1\rangle) = \alpha U|0\rangle + \beta U|1\rangle.$$

**Definition 1.2.2 (Classical Reversible Gates).** Gates that can determine inputs from outputs. *[e.g., the classical NOT gate].* If a gate is a classical reversible gate, then we can make it quantum.

**Example 1.2.3**

Quantum NOT gate: The  $X$  gate:

$$X|0\rangle = |1\rangle \quad \text{and} \quad X|1\rangle = |0\rangle.$$

Then,

$$X(\alpha|0\rangle + \beta|1\rangle) = \alpha X|0\rangle + \beta X|1\rangle = \alpha|1\rangle + \beta|0\rangle.$$

**Example 1.2.4 Classical Irreversible Gates**

Consider the classical gate that maps all inputs to 0. Trying to make it quantum:

$$\text{Gate}|0\rangle = |0\rangle \quad \text{and} \quad \text{Gate}|1\rangle = |0\rangle.$$

Then,

$$\text{Gate}(\alpha|0\rangle + \beta|1\rangle) = \alpha \text{Gate}|0\rangle + \beta \text{Gate}|1\rangle = (\alpha + \beta)|0\rangle.$$

Assume  $|\alpha|^2 + |\beta|^2 = 1$ . Check

$$\begin{aligned} |\alpha + \beta|^2 &= (\alpha + \beta)(\alpha^* + \beta^*) \\ &= \alpha\alpha^* + \beta\beta^* + \alpha\beta^* + \alpha^*\beta \\ &= |\alpha|^2 + |\beta|^2 + \alpha\beta^* + \alpha^*\beta \\ &= 1 + \alpha\beta^* + \alpha^*\beta \neq 1. \end{aligned}$$

So, this gate does not keep normalization.

Classical irreversible gates cannot be transformed into quantum gates.

- Common Quantum Gates:

1. Identity:  $I|0\rangle = |0\rangle$ ,  $I|1\rangle = |1\rangle$ .

2. Pauli  $X$  Gate (NOT):  $X|0\rangle = |1\rangle$ ,  $X|1\rangle = |0\rangle$

Rotates  $180^\circ$  about  $x$ -axis.

$$X^2 = I. (X^2|0\rangle = X(X|0\rangle) = X|1\rangle = |0\rangle, \quad X^2|1\rangle = X(X|1\rangle) = X|0\rangle = |1\rangle.)$$

3. Pauli  $Y$  Gate:  $Y|0\rangle = i|1\rangle$ ,  $Y|1\rangle = -i|1\rangle$

Rotates  $180^\circ$  about  $y$ -axis.

4. Pauli  $Z$  Gate:  $Z|0\rangle = |0\rangle$ ,  $Z|1\rangle = -|1\rangle$

Rotates  $180^\circ$  about  $z$ -axis.

5. Phase Gate:  $S|0\rangle = |0\rangle$ ,  $S|1\rangle = i|1\rangle$ .

$$S^2 = Z.$$

6.  $T$  Gate:  $T|0\rangle = |0\rangle$ ,  $T|1\rangle = e^{i\pi/4}|1\rangle$ .

$$T^2 = S.$$

7. Hadamard Gate: convert  $|0\rangle$  and  $|1\rangle$  into superpositions.

$$H|0\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) = |+\rangle$$

$$H|1\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) = |-\rangle$$

$$H^2 = I$$

Rotates  $180^\circ$  about  $x + z$ -axis.

### Example 1.2.5

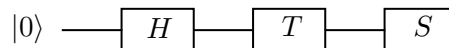
$$\begin{aligned} H|+\rangle &= \frac{1}{\sqrt{2}}(H|0\rangle + H|1\rangle) \\ &= \frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) + \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)\right) \\ &= \frac{1}{\sqrt{2}}\left(\frac{2}{\sqrt{2}}|0\rangle\right) \\ &= |0\rangle. \end{aligned}$$

Similarly,  $H|-\rangle = |1\rangle$ .

- Sequence of Gates:

$$S(T(H|0\rangle)) = STH|0\rangle.$$

In quantum circuits diagram:



## 2 Linear Algebra

### 2.1 Qubits and Column Vectors

- Note that

$$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \text{and} \quad |1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

Therefore, we can represent a general qubit

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle = \alpha \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \beta \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}.$$

$$|i\rangle = \frac{1}{\sqrt{2}} |0\rangle + \frac{i}{\sqrt{2}} |1\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}.$$

- Transpose and Conjugate Transpose (Hermitian):

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix}^\top = (\alpha \quad \beta)$$

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix}^\dagger = (\alpha^* \quad \beta^*)$$

**Definition 2.1.1 (Dual).** If  $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$ , then the *dual vector* of  $|\psi\rangle$  is

$$\langle\psi| = (\alpha^* \quad \beta^*) = |\psi\rangle^\dagger.$$

Note that  $\langle\text{bra}|\text{ket}\rangle$ . So, we call  $\langle\cdot|$  a bra, and  $|\cdot\rangle$  a ket.

#### Example 2.1.2

$$\langle 0| = \begin{pmatrix} 1 \\ 0 \end{pmatrix}^\dagger = (1 \quad 0)$$

$$\langle 1| = \begin{pmatrix} 0 \\ 1 \end{pmatrix}^\dagger = (0 \quad 1)$$

$$\langle i| = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}^\dagger = \frac{1}{\sqrt{2}} (1 \quad -i) = \frac{1}{\sqrt{2}} \langle 0| - \frac{i}{\sqrt{2}} \langle 1|.$$

**Definition 2.1.3 (Inner Products).** Given  $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$  and  $|\varphi\rangle = \begin{pmatrix} \gamma \\ \delta \end{pmatrix}$ , the *inner product* is

$$\langle\psi|\varphi\rangle = \langle\psi|\varphi\rangle = \begin{pmatrix} \alpha^* & \beta^* \end{pmatrix} \begin{pmatrix} \gamma \\ \delta \end{pmatrix} = \alpha^*\gamma + \beta^*\delta.$$

Note: inner products are not commutative:

$$\langle\varphi|\psi\rangle = \begin{pmatrix} \gamma^* & \delta^* \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \gamma^*\alpha + \delta^*\beta = \langle\psi|\varphi\rangle^*.$$

**Definition 2.1.4 (Normalization).** If  $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$  is *normalized*, then its norm

$$\text{norm} := \sqrt{\langle\psi|\psi\rangle} = 1.$$

Note:

$$\langle\psi|\psi\rangle = \begin{pmatrix} \alpha^* & \beta^* \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \alpha^*\alpha + \beta^*\beta = |\alpha|^2 + |\beta|^2 = 1.$$

**Definition 2.1.5 (Orthogonality).** If inner product of two vectors is 0, then the two states are *orthogonal* to each other. For example,

$$\langle 0|1\rangle = \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = 0.$$

If each state is also normalized, we call them *orthonormal*. All basis are orthonormal.

- Notice that if  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$ , then

$$\langle 0|\psi\rangle = \alpha\langle 0|0\rangle + \beta\langle 0|1\rangle = \alpha$$

$$\langle 1|\psi\rangle = \alpha\langle 1|0\rangle + \beta\langle 1|1\rangle = \beta.$$

So,

$$|\psi\rangle = \langle 0|\psi\rangle|0\rangle + \langle 1|\psi\rangle|1\rangle.$$

**Example 2.1.6**

$$|\psi\rangle = \frac{\sqrt{3}}{2}|0\rangle + \frac{1}{2}|1\rangle.$$

1. Measure in computational basis ( $z$ -basis):

$$\text{probability of } |0\rangle = \left(\frac{\sqrt{3}}{2}\right)^2 = \frac{3}{4}$$

$$\text{probability of } |1\rangle = \left(\frac{1}{2}\right)^2 = \frac{1}{4}.$$

2. Measure in  $x$ -basis:  $|+\rangle = \frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $|-\rangle = \frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ -1 \end{pmatrix}$ .

$$|\psi\rangle = \langle +|\psi\rangle |+\rangle + \langle -|\psi\rangle |-\rangle$$

$$\begin{aligned} \text{probability of } |+\rangle &= |\langle +|\psi\rangle|^2 = \left(\frac{1}{\sqrt{2}} \cdot \frac{\sqrt{3}}{2} + \frac{1}{\sqrt{2}} \cdot \frac{1}{2}\right)^2 \\ &= \left(\frac{\sqrt{3}+1}{2\sqrt{2}}\right)^2 = \frac{3+1+2\sqrt{3}}{8} = \frac{2+\sqrt{3}}{4}. \end{aligned}$$

$$\begin{aligned} \text{probability of } |-\rangle &= |\langle -|\psi\rangle|^2 = \left(\frac{1}{\sqrt{2}} \cdot \frac{\sqrt{3}}{2} - \frac{1}{\sqrt{2}} \cdot \frac{1}{2}\right)^2 \\ &= \left(\frac{\sqrt{3}-1}{2\sqrt{2}}\right)^2 = \frac{3+1-2\sqrt{3}}{8} = \frac{2-\sqrt{3}}{4}. \end{aligned}$$

- Generally, probability of  $|\varphi\rangle$  if original state is  $|\psi\rangle$ :

$$|\langle \varphi|\psi\rangle|^2.$$

## 2.2 Gates are Matrices

- If  $U|0\rangle = a|0\rangle + b|1\rangle = \begin{pmatrix} a \\ b \end{pmatrix}$  and  $U|1\rangle = c|0\rangle + d|1\rangle = \begin{pmatrix} c \\ d \end{pmatrix}$ , then

$$U = \begin{pmatrix} a & c \\ b & d \end{pmatrix}.$$

**Proof 1.**

$$U|0\rangle = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} a \\ b \end{pmatrix} \quad \text{and} \quad U|1\rangle = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} c \\ d \end{pmatrix}.$$

Q.E.D. ■

- If  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$ , then

$$U|\psi\rangle = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} a\alpha + c\beta \\ b\alpha + d\beta \end{pmatrix} = \begin{pmatrix} a\alpha \\ b\alpha \end{pmatrix} + \begin{pmatrix} c\beta \\ d\beta \end{pmatrix} = \alpha \begin{pmatrix} a \\ b \end{pmatrix} + \beta \begin{pmatrix} c \\ d \end{pmatrix} = \alpha U|0\rangle + \beta U|1\rangle.$$

- **Unitarity:**

Quantum gates preserve total probability. i.e., it preserves norm:

$$U|\psi\rangle \quad \text{has the same norm as} \quad |\psi\rangle.$$

1. Define  $|U\psi\rangle = U|\psi\rangle$ . Show:  $\langle U\psi| = |U\psi\rangle^\dagger = (U|\psi\rangle)^\dagger = \langle\psi|U^\dagger$ .

**Proof 2.** If  $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$  and  $U = \begin{pmatrix} a & c \\ b & d \end{pmatrix}$ , then

$$U|\psi\rangle = \begin{pmatrix} a\alpha + c\beta \\ b\alpha + d\beta \end{pmatrix}.$$

Meanwhile,

$$\langle\psi| = \begin{pmatrix} \alpha^* & \beta^* \end{pmatrix} \quad \text{and} \quad U^\dagger = \begin{pmatrix} a^* & c^* \\ b^* & d^* \end{pmatrix}.$$

Then,

$$\begin{aligned} \langle\psi|U^\dagger &= \begin{pmatrix} \alpha^*a^* + \beta^*c^* & \alpha^*b^* + \beta^*d^* \end{pmatrix} \\ (U|\psi\rangle)^* &= \begin{pmatrix} \alpha^*a^* + \beta^*c^* & \alpha^*b^* + \beta^*d^* \end{pmatrix}. \end{aligned}$$

Q.E.D. ■

2. Preserve norm:

$$\langle\psi|\psi\rangle = \langle U\psi|U\psi\rangle = \langle\psi|U^\dagger U|\psi\rangle.$$

So, it must be that  $U^\dagger U = I$ . Then,  $U^\dagger = U^{-1}$ .

[Implication: all quantum gates are reversible.]

- *Outer products* are matrices/gates.

If  $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$  and  $|\varphi\rangle = \begin{pmatrix} \gamma \\ \delta \end{pmatrix}$ , then

$$|\psi\rangle\langle\varphi| = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \begin{pmatrix} \gamma^* & \delta^* \end{pmatrix} = \begin{pmatrix} \alpha\gamma^* & \alpha\delta^* \\ \beta\gamma^* & \beta\delta^* \end{pmatrix}.$$

**Example 2.2.1**

Let  $U = |1\rangle\langle 0| + |0\rangle\langle 1|$  act on  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$ .

**Solution 3.****Method 1**

$$\begin{aligned} U|\psi\rangle &= (|1\rangle\langle 0| + |0\rangle\langle 1|)(\alpha|0\rangle + \beta|1\rangle) \\ &= \alpha|1\rangle \underbrace{\langle 0|0\rangle}_{=1} + \beta|1\rangle \underbrace{\langle 0|1\rangle}_{=0} + \alpha|0\rangle \underbrace{\langle 1|0\rangle}_{=0} + \beta|0\rangle \underbrace{\langle 1|1\rangle}_{=1} \\ &= \alpha|1\rangle + \beta|0\rangle. \end{aligned}$$

So,  $U$  is the Pauli  $X$  (NOT) gate.

**Method 2**

$$\begin{aligned} U &= |1\rangle\langle 0| + |0\rangle\langle 1| = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ &= \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \\ &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \\ U|\psi\rangle &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \beta \\ \alpha \end{pmatrix}. \end{aligned}$$

□

### 3 Multiple Qubits

- Two qubits, both in state  $|0\rangle$ :

$$|0\rangle |0\rangle = |00\rangle = |0\rangle \otimes |0\rangle,$$

where  $\otimes$  is called a tensor/Kronecker product.

The computational basis for two qubits is

$$\{|00\rangle, |01\rangle, |10\rangle, |11\rangle\} = \{|0\rangle, |1\rangle, |2\rangle, |3\rangle\}.$$

Generate state of two qubits:

$$c_0 |00\rangle + c_1 |01\rangle + c_2 |10\rangle + c_3 |11\rangle = c_0 |0\rangle + c_1 |1\rangle + c_2 |2\rangle + c_3 |3\rangle = \sum_{j=0}^3 c_j |j\rangle.$$

- General state of three qubits:

$$\sum_{j=0}^7 c_j |j\rangle.$$

Generate state of  $n$  qubits:

$$\sum_{j=0}^{N-1} c_j |j\rangle, \quad \text{where } N = 2^n.$$

Normalization:

$$\sum_{j=0}^{N-1} |c_j|^2 = 1.$$

#### Example 3.0.1 Many Ways to Represent the Same Thing

1.  $n$  qubits, each in state  $|0\rangle$ :

$$|0\rangle^{\otimes n} = \underbrace{|0\rangle |0\rangle \dots |0\rangle}_n = \underbrace{|00\dots 0\rangle}_n = \underbrace{|0\rangle \otimes |0\rangle \otimes \dots \otimes |0\rangle}_n = |0^n\rangle.$$

2. Also work with  $\langle \cdot |$ :

$$\langle 0 | \otimes \langle 0 | = \langle 0 | \langle 0 | = \langle 00 |.$$

- Inner products obtained by matching qubits:

$$\langle 01 | 00 \rangle = \langle 0 | 0 \rangle \langle 1 | 0 \rangle = 1 \cdot 0 = 0.$$

$$\langle 3 | 2 \rangle = \langle 11 | 10 \rangle = \langle 1 | 1 \rangle \langle 1 | 0 \rangle = 1 \cdot 0 = 0.$$

So, general expression: Kronecker- $\delta$ :

$$\delta_{jk} = \langle j|k\rangle = \begin{cases} 0, & j \neq k \\ 1, & j = k. \end{cases}$$

**Definition 3.0.2 (Kronecker Product).** Multiply each term of the first matrix (vector) by the entire second matrix (vector).

**Example 3.0.3**

•

$$|00\rangle = |0\rangle \otimes |0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ 0 \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|01\rangle = |0\rangle \otimes |1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ 0 \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

Similarly,

$$|10\rangle = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad \text{and} \quad |11\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}.$$

• NOT Gate:

$$X \otimes X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} & 1 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ 1 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} & 0 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}.$$

So,  $X \otimes X \neq X^2 = I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

• General state:

$$c_0 |00\rangle + c_1 |01\rangle + c_2 |10\rangle + c_3 |11\rangle = \begin{pmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix}.$$

- Three qubits:

$$\sum_{j=0}^7 c_j |j\rangle = c_0 |0\rangle + c_1 |1\rangle + \cdots + c_7 |7\rangle = \begin{pmatrix} c_0 \\ c_1 \\ \vdots \\ c_7 \end{pmatrix}.$$

- General case:  $N = 2^n$ , and

$$|\psi\rangle = \sum_{j=0}^{N-1} c_j |j\rangle = c_0 |0\rangle + \cdots + c_{N-1} |N-1\rangle = \begin{pmatrix} c_0 \\ \vdots \\ c_{N-1} \end{pmatrix}.$$

- 

$$\langle 00| = \langle 0| \otimes \langle 0| = \begin{pmatrix} 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \end{pmatrix} = \begin{pmatrix} 1(1 & 0) & 0(1 & 0) \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \end{pmatrix}.$$

- 

$$\langle \psi| = |\psi\rangle^\dagger = \begin{pmatrix} c_0^* & c_1^* & \cdots & c_{N-1}^* \end{pmatrix}.$$

**Measuring Individual Qubits** Consider  $\frac{1}{\sqrt{2}}|00\rangle + \frac{1}{2}|01\rangle + \frac{\sqrt{3}}{4}|10\rangle + \frac{1}{4}|11\rangle$ . What happens if we measure only the first (left) qubit?

$$\begin{aligned} \text{probability of } |0\rangle &= \text{probability of } |00\rangle + \text{probability of } |01\rangle \\ &= \left(\frac{1}{\sqrt{2}}\right)^2 + \left(\frac{1}{2}\right)^2 = \frac{3}{4} \end{aligned}$$

The state collapses to terms where the first qubit is  $|0\rangle$ :

$$|00\rangle \quad \text{and} \quad |01\rangle.$$

So, it becomes

$$\frac{1}{\sqrt{2}}|00\rangle + \frac{1}{2}|01\rangle.$$

But we need to re-normalize it. Assume  $A$  is the normalization constant:

$$A \left( \frac{1}{\sqrt{2}}|00\rangle + \frac{1}{2}|01\rangle \right).$$

So,

$$\left(\frac{A}{\sqrt{2}}\right)^2 + \left(\frac{A}{2}\right)^2 = \frac{A^2}{2} + \frac{A^2}{4} = 1 \implies \frac{3}{4}A^2 = 1 \implies A^2 = \frac{4}{3} \implies A = \frac{2}{\sqrt{3}}.$$

On the other hand,

$$\begin{aligned} \text{probability of } |1\rangle &= \text{probability of } |10\rangle + \text{probability of } |11\rangle \\ &= \left(\frac{\sqrt{3}}{4}\right)^2 + \left(\frac{1}{4}\right)^2 = \frac{1}{4}. \end{aligned}$$

The states collapses to

$$B \left( \frac{\sqrt{3}}{4} |10\rangle + \frac{1}{4} |11\rangle \right) \implies \frac{1}{4} B^2 = 1 \implies B^2 = 4 \implies B = 2.$$

**Definition 3.0.4 (Product State).** *Product state* can be written as product of state of individual qubits.

- $\frac{1}{2}(|00\rangle - |01\rangle + |10\rangle - |11\rangle) = \frac{1}{2}(|0\rangle + |1\rangle)(|0\rangle - |1\rangle) = |+\rangle |-\rangle.$
- $\frac{1}{2\sqrt{2}}(\sqrt{3}|00\rangle - \sqrt{3}|01\rangle + |10\rangle - |11\rangle).$

Assume

$$\begin{aligned} |\psi_1\rangle |\psi_0\rangle &= (\alpha_1 |0\rangle + \beta_1 |1\rangle)(\alpha_0 |0\rangle + \beta_0 |1\rangle) \\ &= \alpha_1 \alpha_0 |00\rangle + \alpha_1 \beta_0 |01\rangle + \beta_1 \alpha_0 |10\rangle + \beta_1 \beta_0 |11\rangle. \end{aligned}$$

So,

$$\left\{ \begin{array}{l} \alpha_1 \alpha_0 = \frac{\sqrt{3}}{2\sqrt{2}} \implies \alpha_1 = \frac{\sqrt{3}}{2\alpha_0 \sqrt{2}} \\ \alpha_1 \beta_0 = -\frac{\sqrt{3}}{2\sqrt{2}} \implies \frac{\sqrt{3}}{2\alpha_0 \sqrt{2}} \beta_0 = -\frac{\sqrt{3}}{2\sqrt{2}} \implies \beta_0 = -\alpha_0 \\ \beta_1 \alpha_0 = \frac{1}{2\sqrt{2}} \implies \beta_1 = \frac{1}{2\alpha_0 \sqrt{2}} \\ \beta_1 \beta_0 = -\frac{1}{2\sqrt{2}} \implies \frac{1}{2\alpha_0 \sqrt{2}} (-\alpha_0) = -\frac{1}{2\sqrt{2}} \implies -\frac{1}{2\sqrt{2}} = -\frac{1}{2\sqrt{2}} \end{array} \right.$$

So, this is a product state:

$$\begin{aligned} |\psi_1\rangle |\psi_0\rangle &= \left( \frac{\sqrt{3}}{2\alpha_0 \sqrt{2}} |0\rangle + \frac{1}{2\alpha_0 \sqrt{2}} |1\rangle \right) (\alpha_0 |0\rangle - \alpha_0 |1\rangle) \\ &= \left( \frac{\sqrt{3}}{2\sqrt{2}} |0\rangle + \frac{1}{2\sqrt{2}} |1\rangle \right) (|0\rangle - |1\rangle) \\ &= \left( \frac{\sqrt{3}}{2} |0\rangle + \frac{1}{2} |1\rangle \right) \left( \frac{1}{\sqrt{2}} |0\rangle - \frac{1}{\sqrt{2}} |1\rangle \right). \end{aligned}$$

**Definition 3.0.5 (Entangled State).** *Entangled state cannot be factored into product state.*

Consider  $|\Phi^+\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ . Assume

$$\begin{aligned} |\Phi^+\rangle &= |\psi_1\rangle |\psi_0\rangle = (\alpha_1 |0\rangle + \beta_1 |1\rangle)(\alpha_0 |0\rangle + \beta_0 |1\rangle) \\ &= \alpha_1\alpha_0 |00\rangle + \alpha_1\beta_0 |01\rangle + \beta_1\alpha_0 |10\rangle + \beta_1\beta_0 |11\rangle. \end{aligned}$$

So,

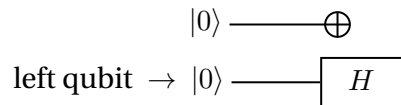
$$\begin{cases} \alpha_1\alpha_0 = \frac{1}{\sqrt{2}} & \implies \alpha_1 = \frac{1}{\alpha_0\sqrt{2}} \implies \alpha_0, \alpha_1 \neq 0 \\ \alpha_1\beta_0 = 0 & \implies \beta_0 = 0 \\ \beta_1\alpha_0 = 0 & \implies \beta_1 = 0 \\ \beta_1\beta_0 = \frac{1}{\sqrt{2}} & \implies \beta_1\beta_0 = \frac{1}{\sqrt{2}} \neq 0. \end{cases}$$

So,  $|\Phi^+\rangle$  is not a product state. It is an entangled state.

### 3.1 Quantum Circuit Diagrams

Start with  $|0\rangle |0\rangle$ , act on the left qubit with  $H$ , act on the right qubit with  $X$ :  $H |0\rangle X |0\rangle$ .

- How to draw the circuit? Two conventions:
  1. Left qubit on bottom (Ours):



Note:  $\oplus = \boxed{X}$

2. Left qubit on top (Everyone else).
- Three ways to analyze the circuits:
    1. Each gate acts on own qubit:

$$H |0\rangle X |0\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) |1\rangle = \frac{1}{\sqrt{2}}(|01\rangle + |11\rangle)$$

2. Separate matrix for each gate:

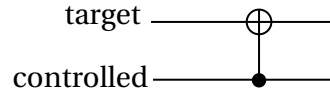
$$\begin{aligned} H |0\rangle \otimes X |0\rangle &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{aligned}$$

## 3. Kronecker product:

$$\begin{aligned}
H|0\rangle \otimes X|0\rangle &= (H \otimes X)(|0\rangle \otimes |0\rangle) \\
&= \left[ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right] \left[ \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right] \\
&= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & -1 \\ 1 & 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \\
&= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{2}}(|01\rangle + |11\rangle).
\end{aligned}$$

## • Common Quantum Gates on Two Qubits:

1. Controlled NOT (CNOT): Applied NOT to the target unit if the controlled unit is  $|1\rangle$ .



$$\begin{aligned}
\text{CNOT } |\text{control}\rangle |\text{target}\rangle : \quad & \text{CNOT } |00\rangle = |00\rangle \\
& \text{CNOT } |01\rangle = |01\rangle \\
& \text{CNOT } |10\rangle = |11\rangle \\
& \text{CNOT } |11\rangle = |10\rangle.
\end{aligned}$$

Note that

$$\text{CNOT } |a\rangle |b\rangle = |a\rangle |a \oplus b\rangle,$$

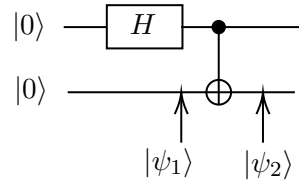
where  $\oplus$  standard for exclusive or (XOR) operation.

$a$	$b$	$a \oplus b$ (XOR)
0	0	0
0	1	1
1	0	1
1	1	0

If the circuit diagram can also place the controlled at top and target at bottom, which gives

$$\text{CNOT } |a\rangle |b\rangle = |a \oplus b\rangle |b\rangle.$$

2. For example,

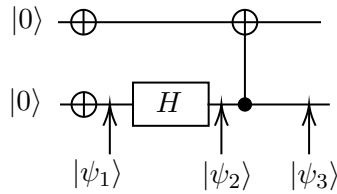


$$|\psi_1\rangle = |0\rangle H |0\rangle = |0\rangle \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) = \frac{1}{\sqrt{2}}(|00\rangle + |01\rangle)$$

$$|\psi_2\rangle = \text{CNOT} \frac{1}{\sqrt{2}}(|00\rangle + |01\rangle) = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle).$$

Note that  $|\psi_2\rangle$  is an entangled state.

3. Other controlled gate: If the controlled is  $|1\rangle$ , we apply the gate to the target unit.



$$|\psi_1\rangle = X |0\rangle X |0\rangle = |1\rangle |1\rangle$$

$$|\psi_2\rangle = H |1\rangle |1\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) |1\rangle = \frac{1}{\sqrt{2}}(|01\rangle - |11\rangle)$$

$$|\psi_3\rangle = \text{CNOT} |\psi_2\rangle = \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle)$$

4. Note that

$$\begin{aligned} H |0\rangle |0\rangle &= \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) = \frac{1}{2}(|00\rangle + |01\rangle + |10\rangle + |11\rangle) \\ &= \frac{1}{2}(|0\rangle + |1\rangle + |2\rangle + |3\rangle) \\ &= \frac{1}{2} \sum_{j=0}^3 |j\rangle. \end{aligned}$$

We can generalize this further:

$$H |0\rangle H |0\rangle H |0\rangle = \frac{1}{\sqrt{8}} \sum_{j=0}^7 |j\rangle.$$

## 4 Quantum Adder

**Goal:** Design a quantum circuit that adds two 4-bit numbers.

Firstly, let's review binary addition:

$$\begin{array}{r}
 \text{carry} \quad 1 \quad 1 \quad 1 \quad 0 \quad 0 \\
 \phantom{\text{carry}} \phantom{1} \phantom{1} \phantom{1} \phantom{0} \phantom{0} \\
 \phantom{\text{carry}} \phantom{1} \phantom{1} \phantom{1} \phantom{0} \phantom{0} \\
 + \phantom{\text{carry}} \phantom{1} \phantom{1} \phantom{1} \phantom{0} \phantom{0} \\
 \hline
 \text{sum} \quad 1 \quad 1 \quad 0 \quad 0 \quad 1
 \end{array}$$

This calculation represents  $11 + 14 = 25$  in base 10. In general,

$$\begin{array}{r}
 \text{carry} \phantom{c_4} \phantom{c_3} \phantom{c_2} \phantom{c_1} \phantom{c_0} \equiv 0 \\
 \phantom{\text{carry}} \phantom{c_4} \phantom{c_3} \phantom{c_2} \phantom{c_1} \phantom{c_0} \\
 \phantom{\text{carry}} \phantom{c_4} \phantom{c_3} \phantom{c_2} \phantom{c_1} \phantom{c_0} \\
 + \phantom{\text{carry}} \phantom{c_4} \phantom{c_3} \phantom{c_2} \phantom{c_1} \phantom{c_0} \\
 \hline
 \text{sum} \quad c_4 \equiv s_4 \quad s_3 \quad s_2 \quad s_1 \quad s_0
 \end{array}$$

For each column, we need sum bit and carry bit:

$$(c_0, a_i, b_i) \mapsto (c_{i+1}, s_i).$$

$c_i$	$a_i$	$b_i$	$c_{i+1}$	$s_i$
0	0	0	0	0
0	0	1	0	1
0	1	0	0	1
0	1	1	1	0
1	0	0	0	1
1	0	1	1	0
1	1	0	1	0
1	1	1	1	1

- $s_i = 1$  if 1 input or 3 inputs are 1:

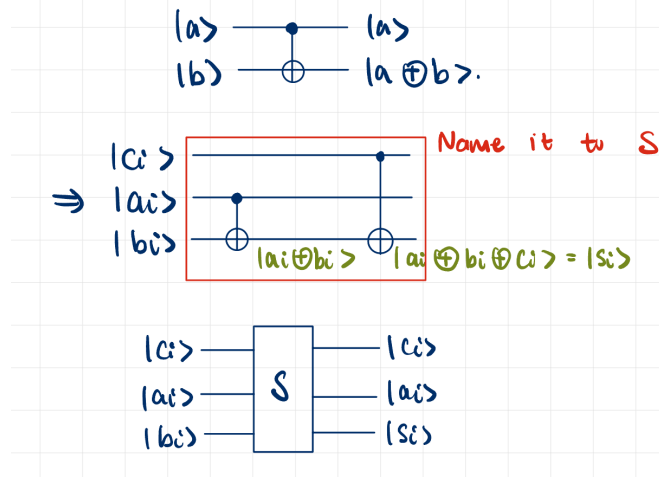
$$s_i = c_i \oplus a_i \oplus b_i$$

- $c_{i+1} = 1$  if at least 2 inputs are 1:

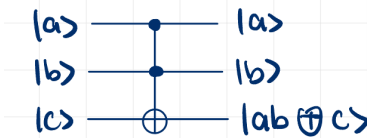
$$\begin{aligned}
 c_{i+1} &= a_i \wedge b_i \oplus c_i \wedge (a_i \oplus b_i) \\
 &= a_i b_i \oplus c_i (a_i \oplus b_i).
 \end{aligned}$$

Now, let's make everything quantum.

4.1 CNOT for  $|s_i\rangle$

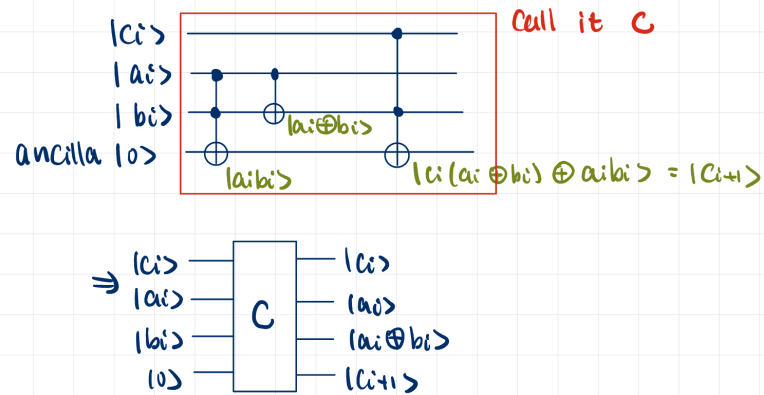


4.2 Toffoli Gate and the Carry Gate

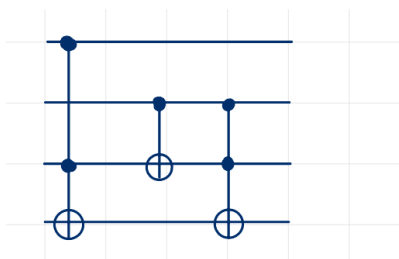


Both controls have to be 1 in order to have the NOT gate act on target.

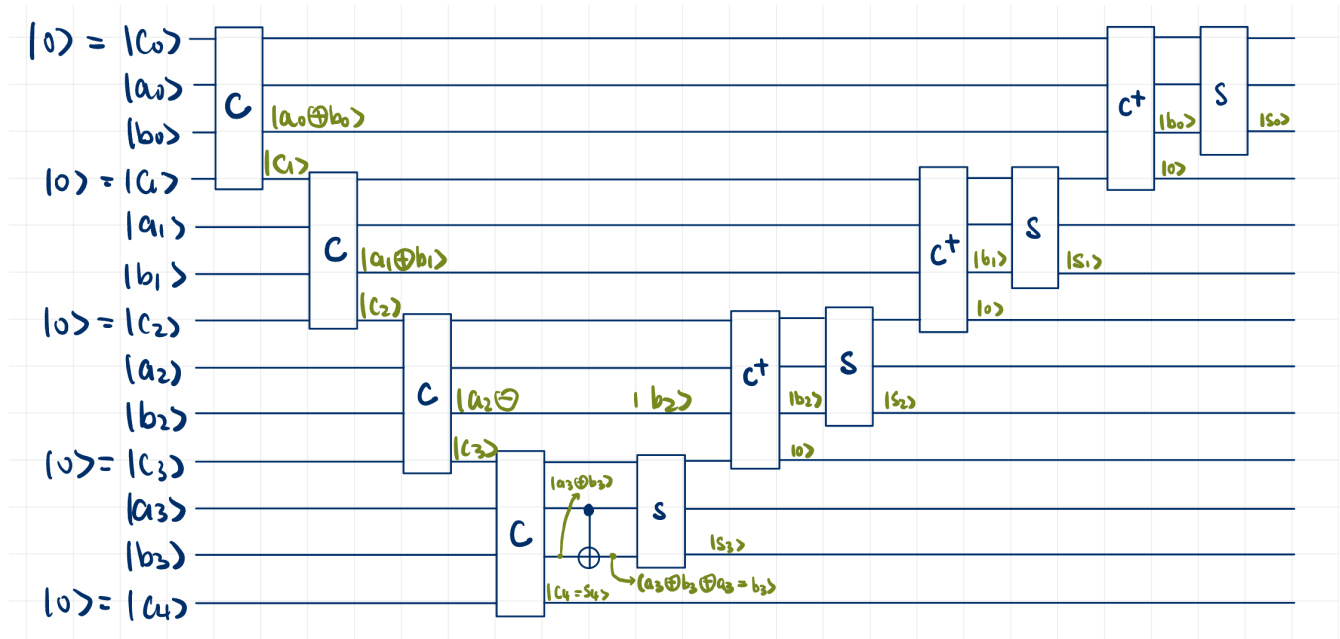
- $c \rightarrow \bar{c} \iff ab = 1.$
- Construct  $|c_{i+1}\rangle$ :



- In the adder, we also need  $C^{-1} = C^\dagger$ :



4.3 The Complete Quantum Adder



## 5 Quantum Entanglement: Bell/CHSH Inequality

### 5.1 Bell Inequality

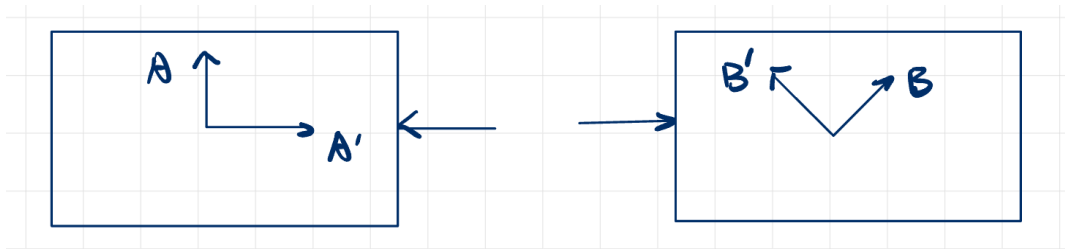
#### Common Sense Assumption

- Objective have properties even if we are not observing them.
- The measurement of one object doesn't affect the other object.

However, quantum mechanics can disobey the common senses.

#### Deriving a Bell Inequality Synopsis of an experiment:

- A pair of particles is created.
- One flies to Alice and one flies to Bob.
- Alice's detector has two settings: She measures either  $A$  or  $A'$
- Bob measures either  $B$  or  $B'$
- In all cases, each detector displays one of the two results:  $+1$  or  $-1$ .



- Alice measures  $A$ , and Bob measures  $B$ .
- They multiply their results together,  $AB$ , which is either  $+1$  or  $-1$ .
- They do this for many pairs of particles and calculate the average of  $AB$ . For example,

$A$	$B$	$AB$
$+1$	$+1$	$+1$
$+1$	$-1$	$-1$
$-1$	$-1$	$+1$

- For each particle arriving at Alice's detector, she measures  $A$  or  $A'$ , not both. Common sense says that they both exist regardless.
- Similarly, for each particle arriving at Bob's detector, he measures  $B$  or  $B'$ , not both. Common sense says that they both exist regardless.

- Define

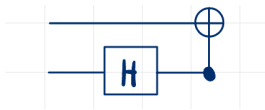
$$S = AB + AB' + A'B - A'B'$$

or sometimes, we would subtract  $AB'$

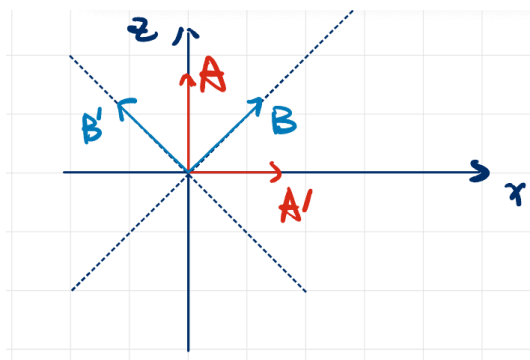
- Common sense says that each pair of particles has an  $S$  value.
- $S$  must be +2 or -2. The average of  $S$  must be between +2 or -2. This is exactly the *Bell inequality* (or, *CHSH inequality*).
- However, experiments show that average of  $S$  can be greater than +2.
- So, it was wrong to assume that  $S$  exists for each pair of particles.
- At least one of our common sense assumptions must be wrong:
  1.  $A, A', B,$  and  $B'$  *all* exist even if we don't measure them, or
  2. The measurement of one particle will not affect the other.

## 5.2 How to Test CHSH Inequality?

- Create an entangled state  $\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$

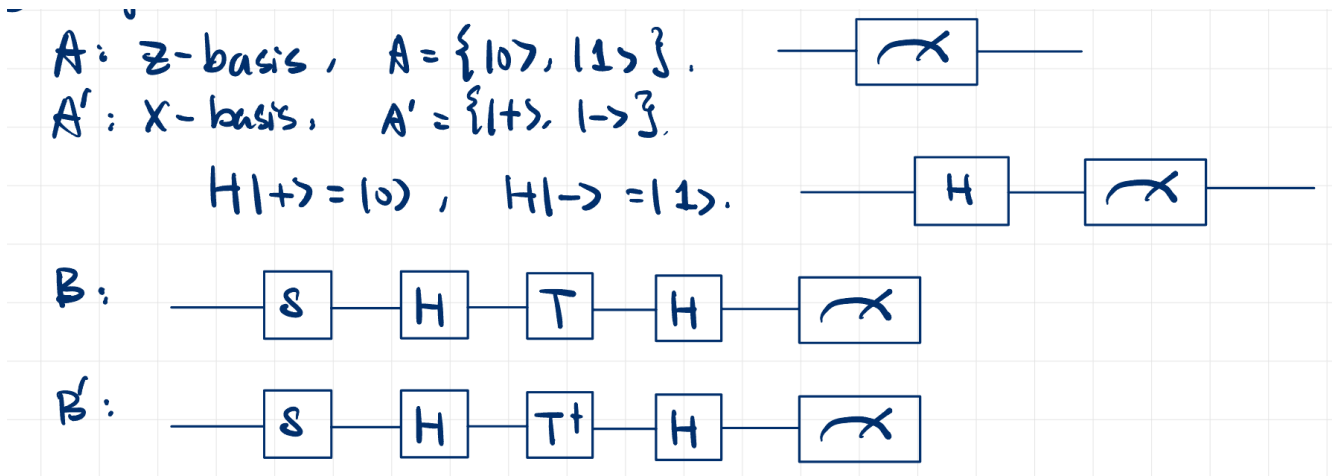


- Create 4 kinds of measurements:  $A, A', B,$  and  $B'$ . (Different measurement direction on Bloch's sphere).

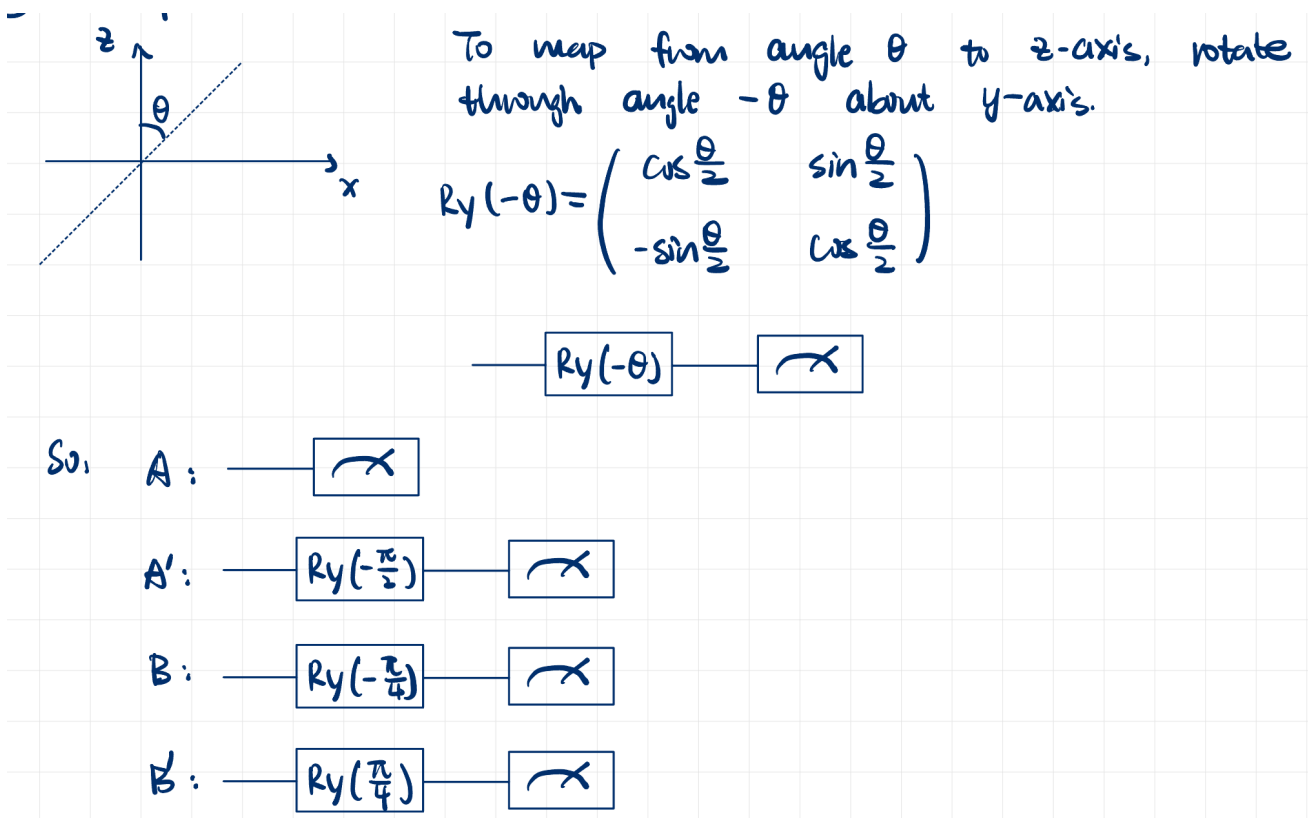


There

1. Wong's circuit:



2. A simpler alternative:



• How to compute averages of  $AB, AB', A'B,$  and  $A'B'$ ?

1. Measured results is histogram: fractional distribution of 4 possible results (00, 01, 10, 11), which approximates probabilities  $P_{00}, P_{01}, P_{10}, P_{11}$ .
2. Alice and Bob's results were  $\pm 1$ , not 0 and 1. So, map  $0 \rightarrow +1$  and  $1 \rightarrow -1$ :

	A	B	AB
00	+1	+1	+1
01	+1	-1	-1
10	-1	+1	-1
11	-1	-1	+1

3. Average of AB:

$$E(AB) = P_{00} + P_{11} - P_{01} - P_{10}$$

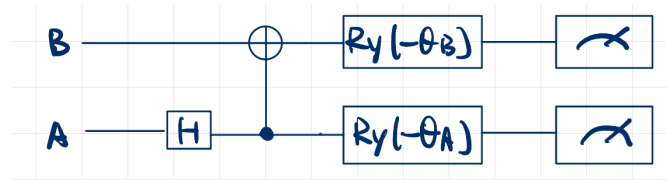
4. We run 4 circuits (for AB, A'B, AB', and A'B') to compute average of S:

$$E(S) = E(AB) + E(A'B) + E(AB') - E(A'B')$$

**The CHSH Inequality**

$$|E(S)| \leq 2.$$

- Derive Quantum Prediction: The circuits are:



where  $\theta_A$  and  $\theta_B$  are given as follows:

	$\theta_A$	$\theta_B$
AB	0	$\pi/4$
AB'	0	$-\pi/4$
A'B	$\pi/2$	$\pi/4$
A'B'	$\pi/2$	$-\pi/4$

Before measurement, the state is

$$[R_y(-\theta_A) \otimes R_y(-\theta_B)] \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) = \begin{pmatrix} \cos \frac{\theta_A}{2} \cos \frac{\theta_B}{2} & * & * & \sin \frac{\theta_A}{2} \sin \frac{\theta_B}{2} \\ -\cos \frac{\theta_A}{2} \sin \frac{\theta_B}{2} & * & * & \sin \frac{\theta_A}{2} \cos \frac{\theta_B}{2} \\ -\sin \frac{\theta_A}{2} \cos \frac{\theta_B}{2} & * & * & \cos \frac{\theta_A}{2} \sin \frac{\theta_B}{2} \\ \sin \frac{\theta_A}{2} \sin \frac{\theta_B}{2} & * & * & \cos \frac{\theta_A}{2} \cos \frac{\theta_B}{2} \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

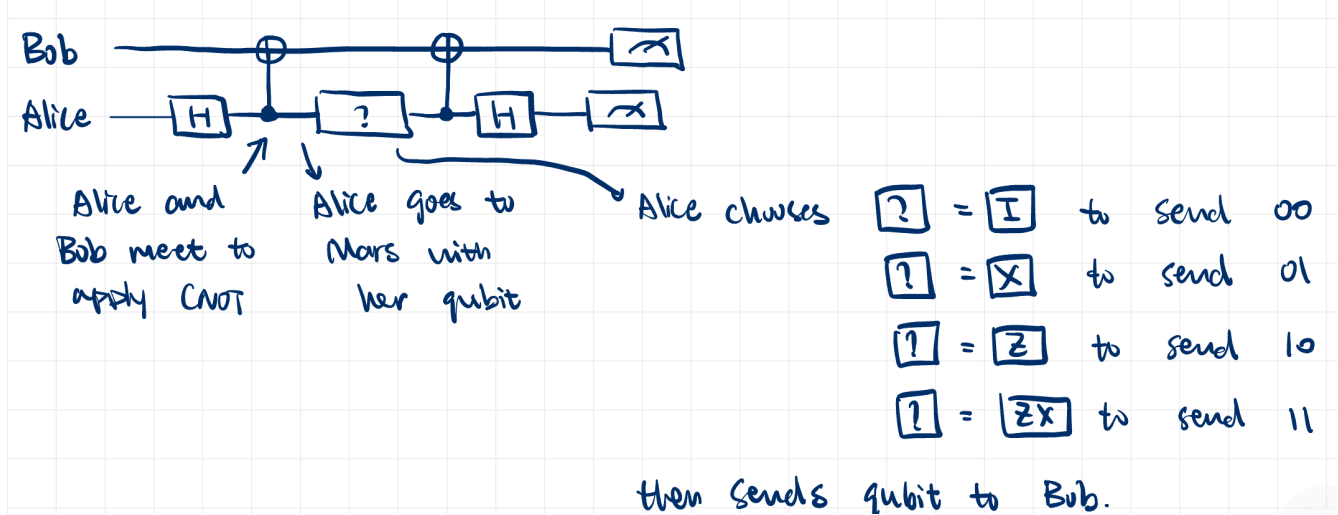
With some work, one can show

$$E(S) = 2\sqrt{2}.$$

## 6 Quantum Protocol

### 6.1 Superdense Coding

Alice wants to send 2 bits (00, 01, 10, or 11) to Bob by sending a single qubit.



#### Example 6.1.1

Alice sends 01: After the first CNOT,  $\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$

After  $\boxed{?} = \boxed{X} = \oplus$ ,

$$\frac{1}{\sqrt{2}}(|10\rangle + |01\rangle)$$

After the second CNOT:

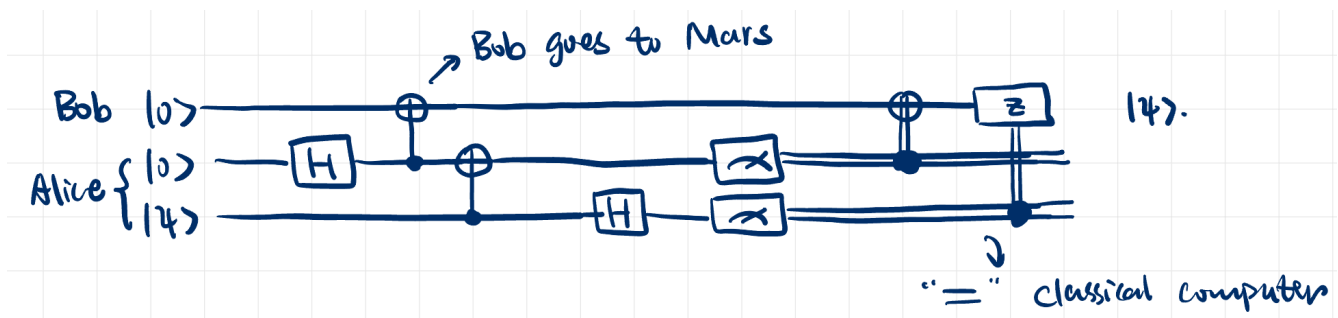
$$\frac{1}{\sqrt{2}}(|11\rangle + |01\rangle) + \frac{1}{\sqrt{2}}(|1\rangle + |0\rangle)|1\rangle = \frac{1}{\sqrt{2}}|+\rangle|1\rangle.$$

After the final  $\boxed{H}$ :  $|0\rangle|1\rangle$ .

$[H|0\rangle = |+\rangle, \text{ and } |0\rangle = HH|0\rangle = H|+\rangle.]$

### 6.2 Quantum Teleportation

Alice wants to send Bob unknown state  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$ .



After the first CNOT:

$$|\psi\rangle \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) = \frac{1}{\sqrt{2}}(\alpha|000\rangle + \alpha|011\rangle + \beta|100\rangle + \beta|111\rangle)$$

After the second CNOT:

$$\frac{1}{\sqrt{2}}(\alpha|000\rangle + \alpha|011\rangle + \beta|110\rangle + \beta|101\rangle).$$

After  $H$ ,

$$\begin{aligned} & \frac{1}{2}(\alpha|000\rangle + \alpha|100\rangle + \alpha|011\rangle + \alpha|111\rangle + \beta|010\rangle - \beta|110\rangle + \beta|001\rangle - \beta|101\rangle) \\ &= \frac{1}{2}[|00\rangle(\alpha|0\rangle + \beta|1\rangle) + |01\rangle(\alpha|1\rangle + \beta|0\rangle) + |10\rangle(\alpha|0\rangle - \beta|1\rangle) + |11\rangle(\alpha|1\rangle - \beta|0\rangle)] \end{aligned}$$

After Alice's measurement, Bob's qubit is:

- $00 \rightarrow \alpha|0\rangle + \beta|1\rangle$
- $01 \rightarrow \alpha|1\rangle + \beta|0\rangle \xrightarrow{\text{Apply } \oplus} \alpha|0\rangle + \beta|1\rangle$
- $10 \rightarrow \alpha|0\rangle - \beta|1\rangle \xrightarrow{\text{Apply } \boxed{Z}} \alpha|0\rangle + \beta|1\rangle$
- $11 \rightarrow \alpha|1\rangle - \beta|0\rangle \xrightarrow[\text{Apply } \boxed{Z}]{\text{Apply } \oplus} \alpha|0\rangle + \beta|1\rangle.$

### 6.3 Scheme for Instantaneous Communication

$$\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) = \frac{1}{\sqrt{2}}(|++\rangle + |--\rangle).$$

- Alice chooses the measure in  $z$ -basis or  $x$ -basis.
- Bob clones his qubit 100 times (not actually possible) and measures in  $z$ -basis.
- If Alice measured in  $z$ -basis, qubit state is  $|00\rangle$  or  $|11\rangle$ , and Bob gets same result every time.
- If Alice measured in  $x$ -basis, the state is  $|++\rangle$  or  $|--\rangle$ , and Bob gets  $|0\rangle \sim 50\%$  and  $|1\rangle \sim 50\%$ .

### 6.4 No-Cloning Theorem

We want to clone  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$  onto qubit, initially in state  $|\varphi\rangle$ .

$$|\psi\rangle |\varphi\rangle \rightarrow |\psi\rangle |\psi\rangle.$$

Is there an operator  $U$  that does this?

$$\begin{aligned} U|\psi\rangle |\varphi\rangle &= |\psi\rangle |\psi\rangle = (\alpha|0\rangle + \beta|1\rangle)(\alpha|0\rangle + \beta|1\rangle) \\ &= \alpha^2|00\rangle + \alpha\beta|01\rangle + \beta\alpha|10\rangle + \beta^2|11\rangle \end{aligned}$$

Must work if  $|\psi\rangle$  is  $|0\rangle$  or  $|1\rangle$ : So,  $U|0\rangle|\varphi\rangle = |00\rangle$ , and  $U|1\rangle|\varphi\rangle = |11\rangle$ , which means

$$\begin{aligned} U|\psi\rangle|\varphi\rangle &= U(\alpha|0\rangle + \beta|1\rangle)|\varphi\rangle \\ &= \alpha U|0\rangle|\varphi\rangle + \beta U|1\rangle|\varphi\rangle \\ &= \alpha|00\rangle + \beta|11\rangle. \end{aligned}$$

Since the two expressions do not match each other, we reach a contradiction, and such  $U$  that clones a qubit does not exist.

### 6.5 Quantum Cryptography – Quantum Key Distribution

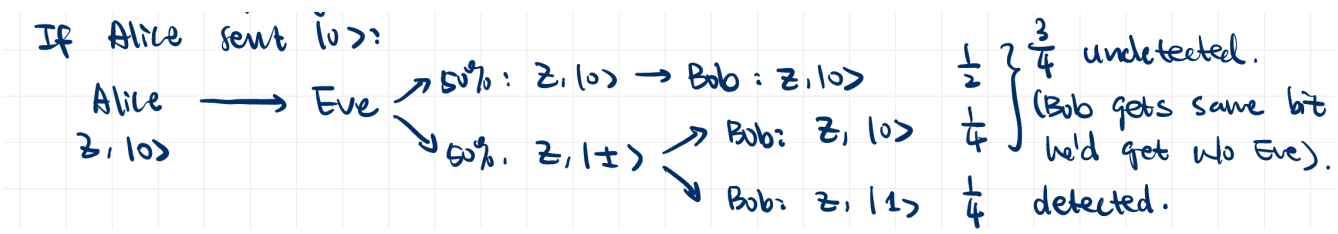
Alice wants to generate a secret sequence of bits, known only to her and Bob, that they can use to encrypt data they send to each other:

message		0	1	1	0
key	$\oplus$	1	1	0	1
cipher (encrypted message)		1	0	1	1

- Alice randomly picks a bit (0 or 1) and basis ( $z$  or  $x$ ), then sends qubit to Bob. Bob randomly pick measurement basis:

Alice's bit	0	1	0	1	1	0	1	1	1
Alice's bases	$z$	$z$	$x$	$z$	$x$	$x$	$x$	$z$	$z$
Alice sends	$ 0\rangle$	$ 1\rangle$	$ +\rangle$	$ 1\rangle$	$ -\rangle$	$ +\rangle$	$ -\rangle$	$ 1\rangle$	$ 1\rangle$
Bob's bases	$z$	$x$	$x$	$z$	$z$	$x$	$z$	$x$	$z$
bob's measurement	$ 0\rangle$	$ -\rangle$	$ +\rangle$	$ 1\rangle$	$ 0\rangle$	$ +\rangle$	$ 1\rangle$	$ +\rangle$	$ 1\rangle$
Bob's bit	0	1	0	1	0	0	1	0	1

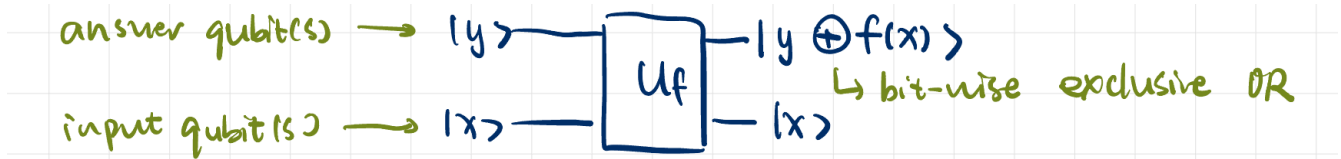
- Alice and Bob publicly reveal the basis used for each qubit. When their bases were the same, their bits have to be the same: secrete key is 00101.
- To determine if Eve eavesdropped, they publicly reveal (and eliminate from their key) some bits (when they both used the same basis) to see if they agree.



## 7 Deutsch's Algorithm

### 7.1 Quantum Oracle

- *Quantum Oracle* is a quantum gate/operator that incorporates a function  $f(x)$ .



$x, y, f(x)$  are all binary numbers.

$$|x\rangle |y\rangle \xrightarrow{U_f} |x\rangle |y \oplus f(x)\rangle,$$

where  $\oplus$  is the bit-wise exclusive OR operator.

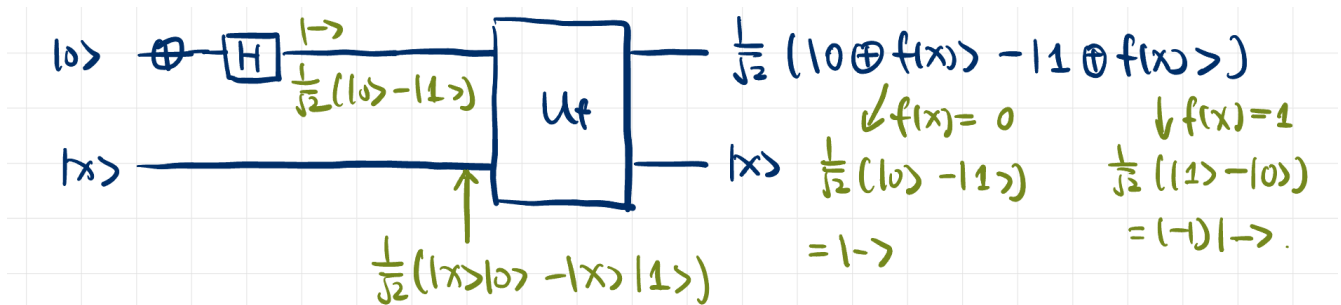
Let  $x, y, f(x)$  each be 1 bit for now (they could have more bits in reality).

- To determine  $f(0)$  and  $f(1)$ , we can set  $y = 0$ :

$$|0\rangle |0\rangle \xrightarrow{U_f} |0\rangle |f(0)\rangle$$

$$|1\rangle |0\rangle \xrightarrow{U_f} |1\rangle |f(1)\rangle.$$

Let's try



The final state is  $\underbrace{(-1)^{f(x)}}_{\text{phase kickback}} |x\rangle |-\rangle$ .

- Phase Oracle:

$$|x\rangle \xrightarrow{U_f} (-1)^{f(x)} |x\rangle.$$

### 7.2 Deutsch's Algorithm

- Consider all possible functions that input one bit and output one bit:

$x$	$f(x)$
0	0
1	0

constant

$x$	$f(x)$
0	1
1	1

balanced

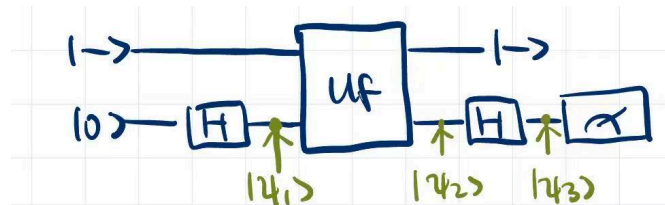
$x$	$f(x)$
0	0
1	1

balanced

$x$	$f(x)$
0	1
1	0

balanced

**Task:** Determine if  $f(x)$  is constant or balanced.



Recall:

$$|x\rangle |-\rangle \xrightarrow{U_f} (-1)^{f(x)} |x\rangle |-\rangle.$$

$$|\psi_1\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) |-\rangle = \frac{1}{\sqrt{2}}(|0\rangle |-\rangle + |1\rangle |-\rangle)$$

$$\begin{aligned} |\psi_2\rangle &= \frac{1}{\sqrt{2}} \left[ (-1)^{f(0)} |0\rangle |-\rangle + (-1)^{f(1)} |1\rangle |-\rangle \right] \\ &= \frac{1}{\sqrt{2}} (-1)^{f(0)} \left[ |0\rangle + (-1)^{f(1)-f(0)} |1\rangle \right] |-\rangle \end{aligned}$$

1. Constant case:  $f(0) = f(1)$ :

$$(-1)^{f(0)} |+\rangle |-\rangle$$

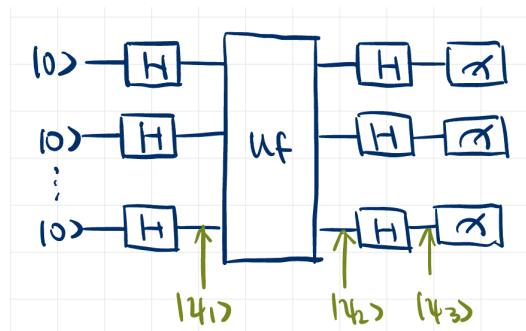
2. Balanced cases:  $f(0) \neq f(1)$ :

$$(-1)^{f(0)} |-\rangle |-\rangle$$

$$|\psi_3\rangle = \begin{cases} |0\rangle, & \text{if } f(0) = f(1) \\ |1\rangle, & \text{if } f(0) \neq f(1) \end{cases}$$

- Extended to Multiple Qubits: Deutsch-Jozsa Algorithm

Consider:  $n$  bit  $X$ ,  $f(X)$  is still one bit.



Recall (phase) oracle:

$$U_f |x\rangle = (-1)^{f(x)} |x\rangle.$$

$$\begin{aligned} |\psi_1\rangle &= \frac{1}{\sqrt{2^n}}(|0\rangle + |1\rangle)(|0\rangle + |1\rangle) \cdots (|0\rangle + |1\rangle) \\ &= \frac{1}{\sqrt{2^n}}(|00 \cdots 0\rangle + |00 \cdots 1\rangle + \cdots + |11 \cdots 1\rangle) \\ |\psi_2\rangle &= \frac{1}{\sqrt{2^n}} \left[ (-1)^{f(00 \cdots 0)} |00 \cdots 0\rangle + (-1)^{f(00 \cdots 1)} |00 \cdots 1\rangle + (-1)^{f(11 \cdots 1)} |11 \cdots 1\rangle \right] \end{aligned}$$

1. If  $f(X) = \text{constant}$ ,

$$|\psi_2\rangle = \frac{1}{\sqrt{2^n}} (-1)^{f(X)} \underbrace{[|00 \cdots 0\rangle + |00 \cdots 1\rangle + \cdots + |11 \cdots 1\rangle]}_{\text{unchanged from } |\psi_1\rangle \text{ (neglecting global phase)}}$$

So,  $U_f = I$ . Since  $H^2 = I$ ,  $|\psi_3\rangle = |00 \cdots 0\rangle$  if  $f(X)$  is constant.

2. Prove that if  $f(X)$  is balanced,  $\mathbf{P}(|\psi_3\rangle = |00 \cdots 0\rangle) = 0$ .

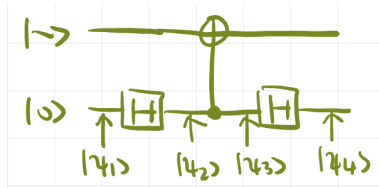
$$|\psi_3\rangle = \frac{1}{\sqrt{2^n}} \left[ (-1)^{f(00 \cdots 0)} H |0\rangle H |0\rangle \cdots H |0\rangle + (-1)^{f(00 \cdots 1)} H |0\rangle H |0\rangle \cdots H |1\rangle + \cdots \right]$$

Keep track only of  $|00 \cdots 0\rangle$  terms:

$$\frac{1}{\sqrt{2^n}} \left[ (-1)^{f(00 \cdots 0)} |00 \cdots 0\rangle + (-1)^{f(00 \cdots 1)} |00 \cdots 0\rangle + \cdots \right] \underbrace{\frac{1}{\sqrt{2^n}}}_{\text{from } H}$$

If  $f(X)$  is balanced, just as many exponents = 0 as 1. So, just as many  $(-1)^0 = 1$  as  $(-1)^1 = -1$  in sum. Hence, the sum = 0.

**Example 7.2.1**



**Solution 1.**

$$\begin{aligned} |\psi_1\rangle &= |0\rangle |-\rangle = |0\rangle \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \\ |\psi_2\rangle &= \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) = \frac{1}{2}(|00\rangle - |01\rangle + |10\rangle - |11\rangle) \end{aligned}$$

$$\begin{aligned} |\psi_3\rangle &= \frac{1}{2}(|00\rangle - |01\rangle + |11\rangle - |10\rangle) \\ &= \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \\ &= |-\rangle |-\rangle \\ |\psi_4\rangle &= |1\rangle |-\rangle. \end{aligned}$$

□

## 8 Grover's Search Algorithm

**Motivation:** In a phonebook with a million names, listed alphabetically, find the name of the person with a specific phone number.

- Classical computer: no choice but brute-force search. On average, we will succeed after 500,000 steps.
- Grover's algorithm will succeed after  $\sim 1000$  steps (queries).

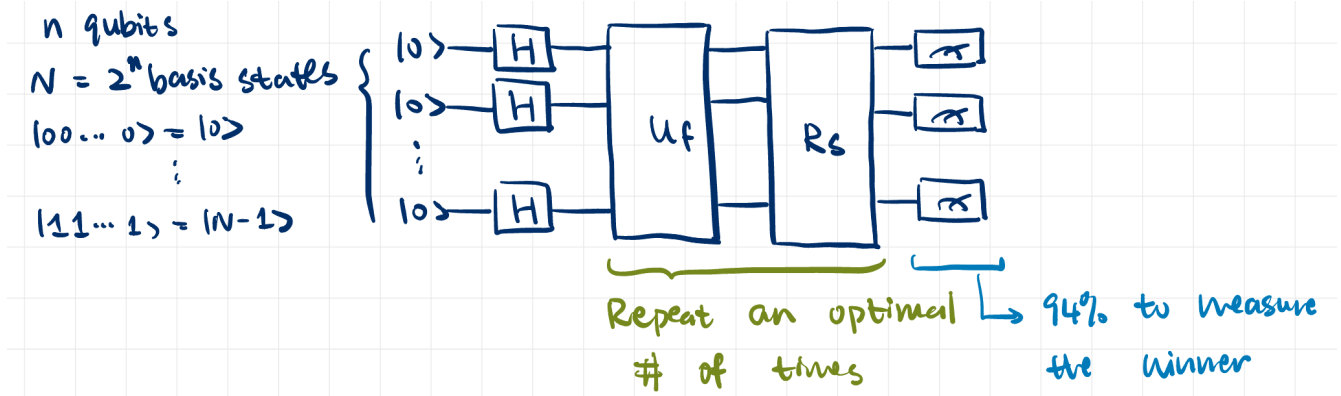
**Set-Up:** The function

$$f(x) = \begin{cases} 1 & \text{if } x = w \\ 0 & \text{o/w} \end{cases}$$

So, the oracle that "flags" the winner is given by

$$U_f = (-1)^{f(x)} |x\rangle = \begin{cases} -|x\rangle & \text{if } x = w \\ |x\rangle & \text{o/w.} \end{cases}$$

### 8.1 Grover's Circuit

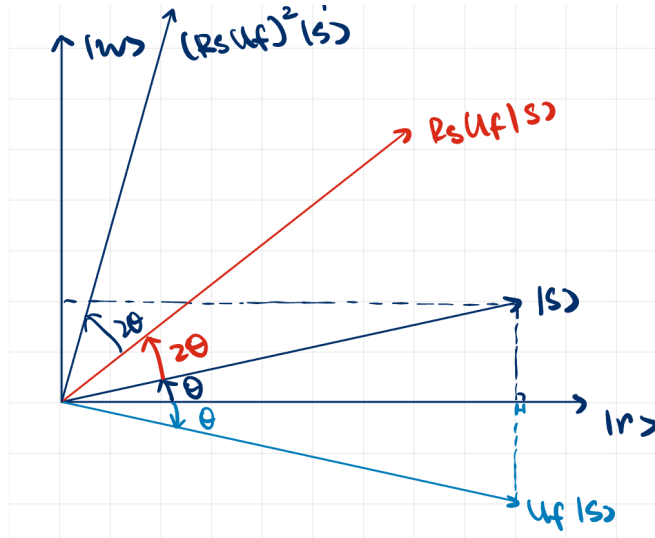


Recall:

$$\begin{aligned}
 H^{\otimes n} |0\rangle^{\otimes n} &= \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} |j\rangle \quad [\text{equally weighted superposition of basis vectors}] \\
 &= \frac{1}{\sqrt{N}} \left( |w\rangle + \sum_{j \neq w} |j\rangle \right) \\
 &= \frac{1}{\sqrt{N}} |w\rangle + \frac{1}{\sqrt{N}} \sum_{j \neq w} |j\rangle \\
 &= \frac{1}{\sqrt{N}} |w\rangle + \sqrt{\frac{N-1}{N}} \cdot \underbrace{\frac{1}{\sqrt{N-1}} \sum_{j \neq w} |j\rangle}_{=|r\rangle = \text{normalized superposition of "rejects" (non-winners)}}
 \end{aligned}$$

$$\begin{aligned}
 |s\rangle &= \frac{1}{\sqrt{N}} |w\rangle + \sqrt{\frac{N-1}{N}} |r\rangle \quad [ |w\rangle \text{ and } |r\rangle \text{ from an orthonormal basis: } \frac{1}{N} + \frac{N-1}{N} = 1. ] \\
 &= \sin \theta |w\rangle + \cos \theta |r\rangle .
 \end{aligned}$$

A general representation:



$$|s\rangle = \sin \theta |w\rangle + \cos \theta |r\rangle$$

- Apply  $U_f$ :

$$U_f |s\rangle = -\sin \theta |w\rangle + \cos \theta |r\rangle$$

Reflects  $|s\rangle$  about the  $x$ -axis.

- Apply  $R_s$  that reflects about  $|s\rangle$
- Net effect of  $R_s U_f$  is to rotate  $2\theta$  counter-clockwise.
- **Goal:** Let the final result to be close to  $|w\rangle$

Determine optimal number  $t$  of applications of  $R_s U_f$ :

$$\theta + t(2\theta) = \frac{\pi}{2}$$

$$t = \frac{\pi}{4\theta} - \frac{1}{2},$$

$$\text{where } \sin \theta = \frac{1}{\sqrt{N}}$$

$$\Rightarrow \theta = \sin^{-1} \left( \frac{1}{\sqrt{N}} \right) \approx \frac{1}{\sqrt{N}}, \quad N \rightarrow \infty.$$

$$t \approx \frac{\pi}{4} \sqrt{N} - \frac{1}{2},$$

and round to nearest integers.

**If there are  $p$  different winner states:**  $|w_1\rangle, |w_2\rangle, \dots, |w_p\rangle$ , Then,

$$|s\rangle = \frac{1}{\sqrt{N}} (|w_1\rangle + |w_2\rangle + \dots + |w_p\rangle) + \underbrace{\sum_{N-p} |j\rangle}_{\text{rejects}}$$

- Normalized combined winner state:

$$\frac{1}{\sqrt{p}}(|w_1\rangle + |w_2\rangle + \dots + |w_p\rangle) = |\text{winners}\rangle$$

- Normalized combined reject state:

$$\frac{1}{\sqrt{N-p}} \sum_{\text{rejects}} |j\rangle = |r\rangle.$$

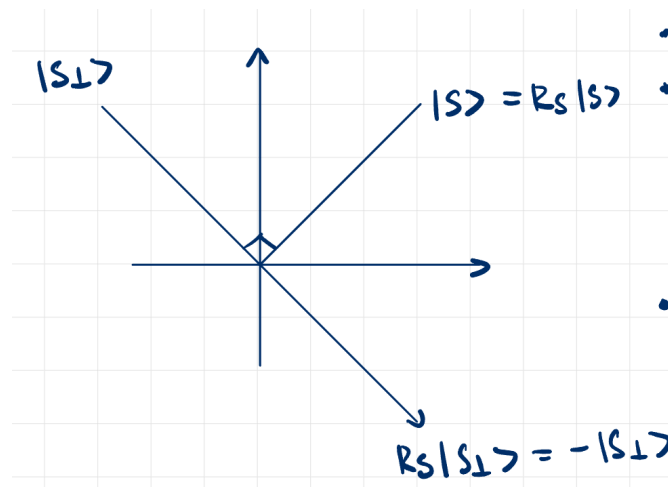
$$\text{So, } |s\rangle = \sqrt{\frac{p}{N}} |\text{winners}\rangle + \sqrt{\frac{N-p}{N}} |r\rangle.$$

$$\sin \theta = \sqrt{\frac{p}{N}} \implies \theta = \sin^{-1} \sqrt{\frac{p}{N}} \approx \sqrt{\frac{p}{N}}.$$

Then,

$$\text{optimal } t = \frac{\pi}{4} \sqrt{\frac{N}{p}} - \frac{1}{2}.$$

**What is  $R_s$ ?**



- $R_s$  is a reflection about  $|s\rangle$ .
- Observation:

$$R_s S |s\rangle = |s\rangle$$

$$R_s |s_\perp\rangle = -|s_\perp\rangle$$

- **Claim**  $R_s = 2|s\rangle\langle s| - I$ .

**Proof 1.**

$$R_s |s\rangle = 2|s\rangle\langle s|s\rangle - I|s\rangle = 2|s\rangle - |s\rangle = |s\rangle$$

Moreover,

$$R_s |s_{\perp}\rangle = 2 |s\rangle \langle s|s_{\perp}\rangle - I |s_{\perp}\rangle = - |s_{\perp}\rangle$$

Q.E.D. ■

**Example 8.1.1**

$N = 8$ , single winner  $|w\rangle = |3\rangle$ .

$$|s\rangle = \frac{1}{\sqrt{8}} \sum_{j=0}^7 |j\rangle = \frac{1}{\sqrt{8}} |3\rangle + \frac{1}{\sqrt{8}} \sum_{j \neq 3} |j\rangle$$

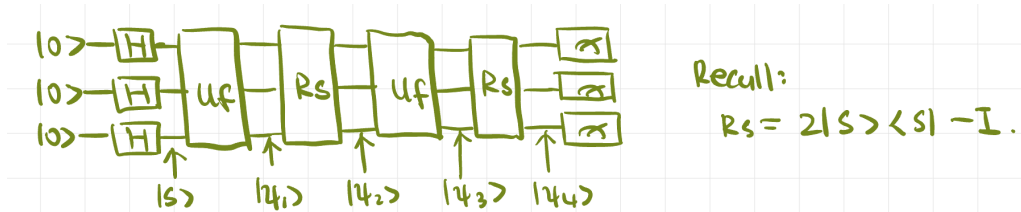
$$|r\rangle = \frac{1}{\sqrt{7}} \sum_{j \neq 3} |j\rangle$$

So,

$$|s\rangle = \frac{1}{\sqrt{8}} |3\rangle + \sqrt{\frac{7}{8}} |r\rangle \implies |r\rangle = \sqrt{\frac{8}{7}} |s\rangle - \frac{1}{\sqrt{7}} |3\rangle \quad \text{and} \quad \langle 3|s\rangle = \frac{1}{\sqrt{8}}.$$

Number of iterations of  $(R_s U_f)$  is

$$t = \frac{\pi}{4} \sqrt{8} - \frac{1}{2} \approx 2.$$



$$|\psi_1\rangle = U_f |s\rangle = -\frac{1}{\sqrt{8}} |3\rangle + \sqrt{\frac{7}{8}} |r\rangle = |s\rangle - \frac{2}{\sqrt{8}} |3\rangle$$

$$\begin{aligned} |\psi_2\rangle &= R_s |\psi_1\rangle = 2 |s\rangle \langle s|s\rangle - I |s\rangle - \frac{2}{\sqrt{8}} \cdot 2 |s\rangle \langle s|3\rangle + I \frac{2}{\sqrt{8}} |3\rangle \\ &= 2 |s\rangle - |s\rangle - \frac{4}{\sqrt{8}} \cdot \frac{1}{\sqrt{8}} + \frac{2}{\sqrt{8}} |3\rangle \\ &= |s\rangle - \frac{1}{2} |s\rangle + \frac{2}{\sqrt{8}} |3\rangle \\ &= \frac{1}{2} |s\rangle + \frac{2}{\sqrt{8}} |3\rangle \\ &= \frac{1}{2} \left( \frac{1}{\sqrt{8}} |3\rangle + \sqrt{\frac{7}{8}} |r\rangle \right) + \frac{2}{\sqrt{8}} |3\rangle \\ &= \left( \frac{1}{2\sqrt{8}} + \frac{2}{\sqrt{8}} \right) |3\rangle + \frac{1}{2} \sqrt{\frac{7}{8}} |r\rangle \\ &= \frac{5}{2\sqrt{8}} |3\rangle + \frac{1}{2} \sqrt{\frac{7}{8}} |r\rangle \end{aligned}$$

$$\begin{aligned}
|\psi_3\rangle &= U_f |\psi_2\rangle = -\frac{5}{2\sqrt{8}} |3\rangle + \frac{1}{2}\sqrt{\frac{7}{8}} |r\rangle \\
&= -\frac{5}{2\sqrt{8}} |3\rangle + \frac{1}{2}\sqrt{\frac{7}{8}} \left( \sqrt{\frac{8}{7}} |s\rangle - \frac{1}{\sqrt{7}} |3\rangle \right) \\
&= -\frac{5}{2\sqrt{8}} |3\rangle + \frac{1}{2} |s\rangle - \frac{1}{2\sqrt{8}} |3\rangle \\
&= \frac{1}{2} |s\rangle - \frac{3}{\sqrt{8}} |3\rangle.
\end{aligned}$$

$$\begin{aligned}
|\psi_4\rangle &= R_s |\psi_3\rangle = \frac{1}{2} |s\rangle - 2\frac{3}{\sqrt{8}} |s\rangle \langle s|3\rangle + \frac{3}{\sqrt{8}} |3\rangle \\
&= \frac{1}{2} |s\rangle - 2\frac{3}{\sqrt{8}} \cdot \frac{1}{\sqrt{8}} |s\rangle + \frac{3}{\sqrt{8}} |3\rangle \\
&= \frac{1}{2} |s\rangle - \frac{3}{4} |s\rangle + \frac{3}{\sqrt{8}} |3\rangle \\
&= -\frac{1}{4} |s\rangle + \frac{3}{\sqrt{8}} |3\rangle \\
&= -\frac{1}{4} \left( \frac{1}{\sqrt{8}} |3\rangle + \sqrt{\frac{7}{8}} |r\rangle \right) + \frac{3}{\sqrt{8}} |3\rangle \\
&= -\frac{1}{4\sqrt{8}} |3\rangle + \frac{3}{\sqrt{8}} |3\rangle - \frac{1}{4}\sqrt{\frac{7}{8}} |r\rangle \\
&= \frac{11}{4\sqrt{8}} |3\rangle - \frac{1}{4}\sqrt{\frac{7}{8}} |r\rangle
\end{aligned}$$

$$\text{probability of } |3\rangle = \left( \frac{11}{4\sqrt{8}} \right)^2 = \frac{121}{128}.$$

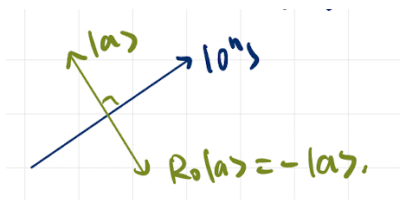
## 8.2 How to construct $R_s$ out of Elementary Gates?

$$R_s = 2 |s\rangle \langle s| - I, \quad \text{where } |s\rangle = H^{\otimes n} |0\rangle^{\otimes n} = H^{\otimes n} |0^n\rangle \implies \langle s| = \langle 0^n| H^{\otimes n}.$$

$$\begin{aligned}
R_s &= 2 |s\rangle \langle s| - I = 2H^{\otimes n} |0^n\rangle \langle 0^n| H^{\otimes n} - I \\
&= H^{\otimes n} \underbrace{(2 |0^n\rangle \langle 0^n| - I)}_{R_0} H^{\otimes n}
\end{aligned}$$

What does  $R_0$  do?

- To  $|0^n\rangle$ ,  $R_0 |0^n\rangle = 2 |0^n\rangle \langle 0^n|0^n\rangle - |0^n\rangle = |0^n\rangle \implies$  no effects.
- To  $|a\rangle \neq |0^n\rangle$ :  $R_0 |a\rangle = -|a\rangle$ .

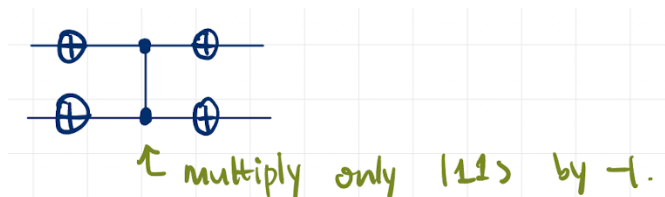


So,  $R_0$  is a reflection about  $|0^n\rangle$ .

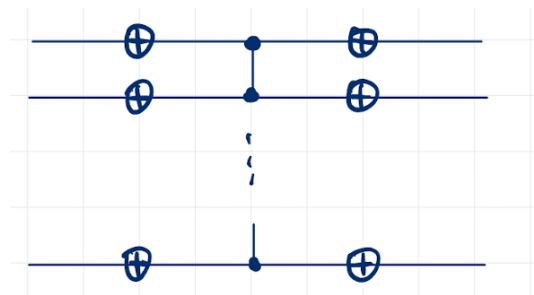
To make  $R_0$ , recall  $Z|0\rangle = |0\rangle$  and  $Z|1\rangle = -|1\rangle$ . Controlled  $Z$  multiplies only  $|11\rangle$  by  $-1$ .



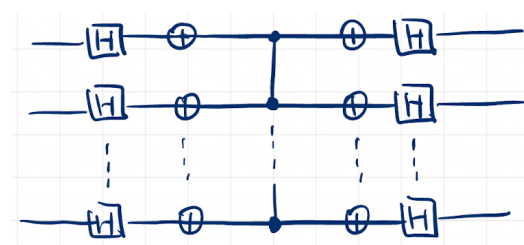
$R_0$  multiplies every computational basis state except the all-zero state by  $-1$ . Let's construct first an operator that multiplies only the all-zero state by  $-1$ :



Then,  $-R_0$  is given by:



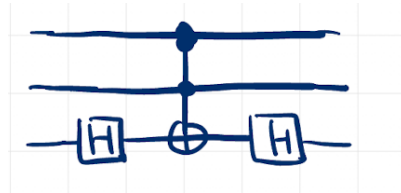
This multiplies  $|0^n\rangle$  by  $-1$ . Then,  $R_s$  is



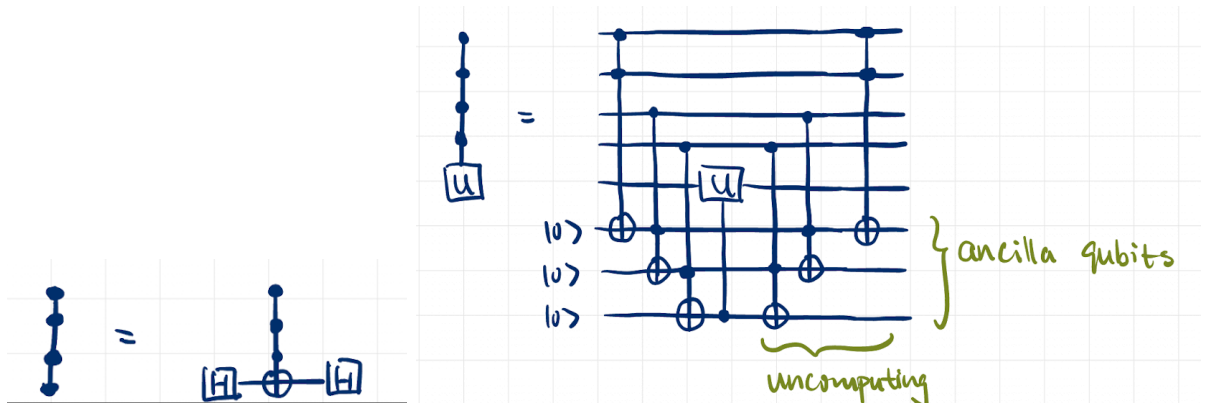
**How to make controlled  $Z$  and Doubly controlled  $Z$ ?**

- Controlled  $Z$ : drop control (the  $\cdot$ ) on  $\boxed{Z}$

- Doubly controlled  $Z$ :

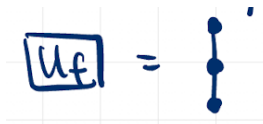


- More controlled  $Z$  gates:

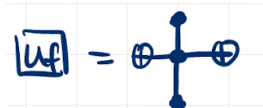


**How to construct  $U_f$ , using knowledge of  $w$  (to test whether Grover's Algorithm works)**

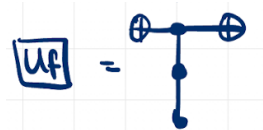
- $U_f$  multiplies  $|w\rangle$  by  $-1$ . So, if  $|2\rangle = |111\rangle$ ,



- If  $|w\rangle = |101\rangle$ ,



- If  $|w\rangle = |110\rangle$ ,



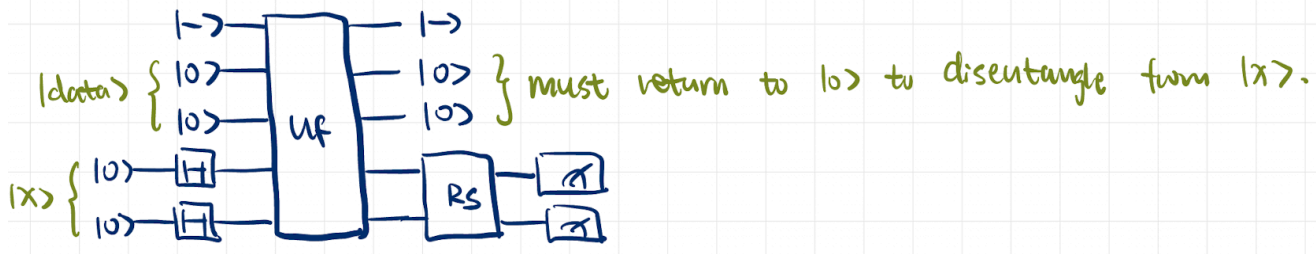
- If there are 2 winners,  $|w_1\rangle = |101\rangle$  and  $|w_2\rangle = |110\rangle$ , then



### 8.3 Quantum Database

**Goal:** Construct  $U_f$  without assuming the solution

- We will use  $|x\rangle |0\rangle \xrightarrow{U_f} (-1)^{f(x)} |x\rangle |-\rangle$ , where  $f(x) = \begin{cases} 1 & \text{if } x = 1 \\ 0 & \text{o/w.} \end{cases}$
- If  $|x\rangle$  has 2 qubits:

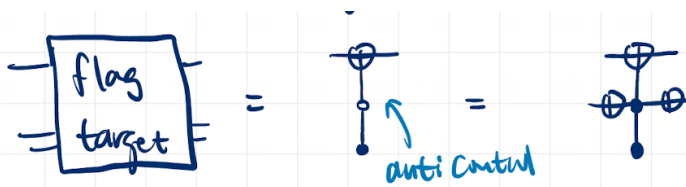


#### Example 8.3.1 Phonebook

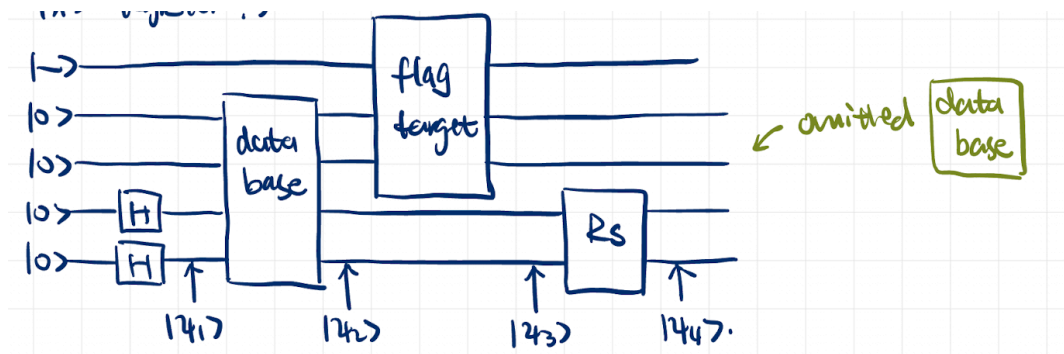
$ x\rangle =  \text{name}\rangle$	$ \text{data}\rangle =  \text{phoneNumber}\rangle$
$ 00\rangle$	$ 11\rangle$
$ 01\rangle$	$ 10\rangle$
$ 10\rangle$	$ 00\rangle$
$ 11\rangle$	$ 01\rangle$



- What  $|x\rangle = |w\rangle$  that gives  $|\text{data}\rangle = |10\rangle$ ?



- What happens if we forget to uncompute (returning the ancilla qubits, the data register, to  $|00\rangle$ , to disentangle them from the  $|x\rangle$  register)?



1.  $|\psi_1\rangle = \frac{1}{2}(|00\rangle + |01\rangle + |10\rangle + |11\rangle) |00\rangle |-\rangle$

2.  $|\psi_2\rangle = \frac{1}{2}(|00\rangle |11\rangle + |01\rangle |10\rangle + |10\rangle |00\rangle + |11\rangle |01\rangle) |-\rangle$

Effect of **database**: change the  $|y\rangle$  register according to the  $|x\rangle$  register and the database table.

3.  $|\psi_3\rangle = \frac{1}{2}(|00\rangle |11\rangle - |01\rangle |10\rangle + |10\rangle |00\rangle + |11\rangle |01\rangle) |-\rangle$

Effect of **flag target**: change the sign of the winning state.

4.  $R_S = 2|s\rangle\langle s| - I$ .  $\langle s|x\rangle = \frac{1}{2}$ . So,

$$R_S|x\rangle = (2|s\rangle\langle s| - I)|x\rangle = 2|s\rangle\langle s|x\rangle - |x\rangle = |s\rangle - |x\rangle$$

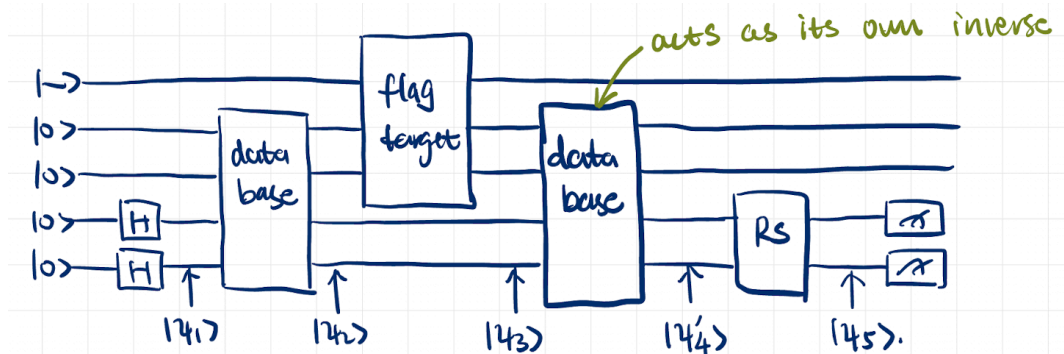
$$|\psi_4\rangle = \frac{1}{2}[(|s\rangle - |00\rangle)|11\rangle - (|s\rangle - |01\rangle)|10\rangle + (|s\rangle - |10\rangle)|00\rangle + (|s\rangle - |11\rangle)|01\rangle] |-\rangle$$

5. Imaging measuring  $|data\rangle$  register, suppose we get  $|11\rangle$ . Then,  $|x\rangle$  register is

$$|s\rangle - |00\rangle = -\frac{1}{2}|00\rangle + \frac{1}{2}|01\rangle + \frac{1}{2}|10\rangle + \frac{1}{2}|11\rangle.$$

So, we have 25% of all  $|x\rangle$  values. Grover's algorithm fails if we don't uncompute.

- The correct circuit:



1.  $|\psi_3\rangle = \frac{1}{2}(|00\rangle |11\rangle - |01\rangle |10\rangle + |10\rangle |00\rangle + |11\rangle |01\rangle) |-\rangle$

2.  $|\psi'_4\rangle = \frac{1}{2}(|00\rangle - |01\rangle + |10\rangle + |11\rangle) |00\rangle |0\rangle$

Effect of database: turn everything back to  $|00\rangle$ .

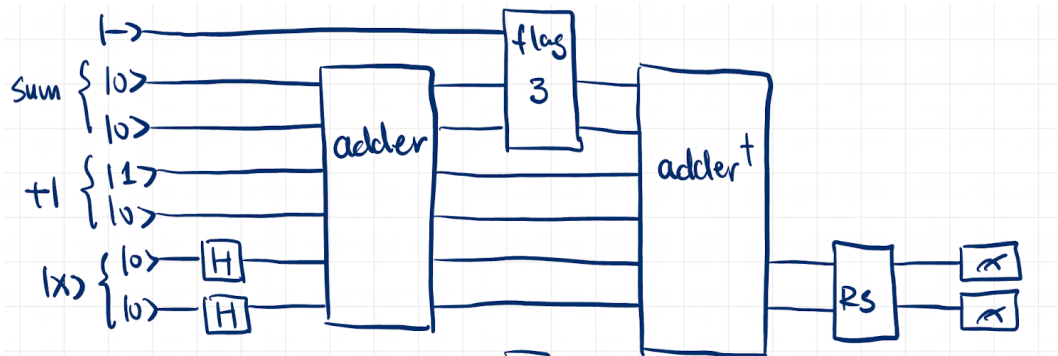
3.  $R_s |x\rangle = |s\rangle - |x\rangle$ :

$$\begin{aligned}
 |\psi_5\rangle &= \frac{1}{2} [ (|s\rangle - |00\rangle) - (|s\rangle - |01\rangle) + (|s\rangle - |10\rangle) + (|s\rangle - |11\rangle) ] |00\rangle |-\rangle \\
 &= \frac{1}{2} (2|s\rangle - |00\rangle + |01\rangle - |10\rangle - |11\rangle) |00\rangle |-\rangle \\
 &\quad [2|s\rangle = |00\rangle + |01\rangle + |10\rangle + |11\rangle] \\
 &= \frac{1}{2} (2|01\rangle) |00\rangle |-\rangle = |01\rangle |00\rangle |-\rangle.
 \end{aligned}$$

The probability of measuring  $|01\rangle = 100\%$ . So, the Grover's algorithm succeeds!

### 8.4 Application of Grover's Algorithm

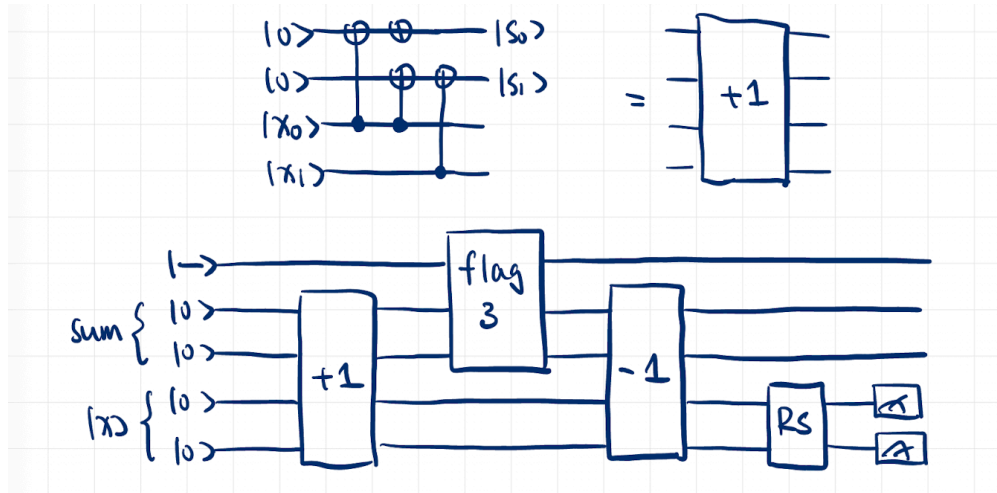
Solve  $x + 1 = 3$ . Assume candidate  $x = 1, 2, 3$ .



Or, we could handwrite +1:

$$\begin{array}{r}
 \text{carry} \rightarrow x_0 \\
 \phantom{\text{carry}} x_1 \quad x_0 \\
 + \phantom{x_1} \phantom{x_0} 1 \\
 \hline
 s_1 \quad s_2
 \end{array}$$

We have  $s_0 = \bar{x}_0$  and  $s_1 = x_0 \oplus x_1$ , where  $\oplus$  is the exclusively or operator. Making it quantum:



## 9 Quantum Fourier Transformation

### 9.1 Discrete Fourier Transform (DFT)

**Goal:** allows us to determine the period of elements in a vector.

#### Example 9.1.1

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \text{ has a period of 3}$$

$$\frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} \text{ has a period of 2}$$

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \text{ has a period of 4}$$

The discrete Fourier transform (DFT) of  $\begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_{N-1} \end{pmatrix}$  is  $\begin{pmatrix} \varphi_0 \\ \varphi_1 \\ \vdots \\ \varphi_{N-1} \end{pmatrix}$ , where

$$\varphi_k = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j \omega^{jk}, \quad \text{and} \quad \omega = e^{2\pi i/N}.$$

So,

$$\begin{aligned}\varphi_0 &= \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j \omega^0 = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j \\ &= \frac{1}{\sqrt{N}} (a_0 + a_1 + \cdots + a_{N-1}) \\ \varphi_1 &= \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j \omega^j = \frac{1}{\sqrt{N}} (a_0 + a_1 \omega + a_2 \omega^2 + \cdots + a_{N-1} \omega^{N-1}).\end{aligned}$$

In matrix form,

$$\begin{pmatrix} \varphi_0 \\ \varphi_1 \\ \varphi_2 \\ \vdots \\ \varphi_{N-1} \end{pmatrix} = \frac{1}{\sqrt{N}} \underbrace{\begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \omega & \omega^2 & \cdots & \omega^{N-1} \\ 1 & \omega^2 & \omega^4 & \cdots & \omega^{2N-2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{N-1} & \omega^{2N-2} & \cdots & \omega^{(N-1)^2} \end{pmatrix}}_{\text{DFT}} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ \vdots \\ a_{N-1} \end{pmatrix}.$$

### Example 9.1.2

$N = 6$ , so,

$$\omega = e^{2\pi i/6} = \frac{1}{2} + \frac{i\sqrt{3}}{2},$$

and

$$\text{DFT} = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & \omega & \omega^2 & \omega^4 & \omega^4 & \omega^5 \\ 1 & \omega^2 & \omega^4 & \omega^6 & \omega^8 & \omega^{10} \\ 1 & \omega^3 & \omega^6 & \omega^9 & \omega^{12} & \omega^{15} \\ 1 & \omega^4 & \omega^8 & \omega^{12} & \omega^{16} & \omega^{20} \\ 1 & \omega^5 & \omega^{10} & \omega^{15} & \omega^{20} & \omega^{25} \end{pmatrix}$$

$$\bullet \text{ DFT} \cdot \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{3}} \begin{pmatrix} \varphi_0 \\ \varphi_1 \\ \varphi_2 \\ \varphi_3 \\ \varphi_4 \\ \varphi_5 \end{pmatrix}.$$

The indices (subscript of  $\varphi$ ) of the non-zero elements are 0, 2, 4, and they are multiples of 2.

$$2 = \frac{N}{r} = \frac{6}{r}, \quad \text{where } r = \text{period.}$$

$$\bullet \text{ DFT} \cdot \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_0 \\ \varphi_1 \\ \varphi_2 \\ \varphi_3 \\ \varphi_4 \\ \varphi_5 \end{pmatrix}.$$

Nonzero elements' indices: 0, 3. Multiples of 3:

$$3 = \frac{N}{r} = \frac{6}{r} \implies r = 2.$$

**Rule to find the period** Find the number that indices of nonzero elements are multiple of, set equal to  $\frac{N}{r}$ , solve for  $r$ .

## 9.2 Quantum Fourier Transform (QFT)

Quantum Fourier transform is just the DFT written in quantum form. Let's write DFT in kets:

$$\begin{aligned} \begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_{N-1} \end{pmatrix} &= a_1 \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} + a_2 \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix} + \dots = a_0 |0\rangle + a_1 |1\rangle + \dots + a_{N-1} |N-1\rangle \\ &= \sum_{j=0}^{N-1} a_j |j\rangle = |\psi\rangle \\ \text{QFT } |\psi\rangle = |\psi\rangle &= \begin{pmatrix} \varphi_0 \\ \vdots \\ \varphi_{N-1} \end{pmatrix} = \sum_{k=0}^{N-1} \varphi_k |k\rangle = \sum_{k=0}^{N-1} \underbrace{\frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j e^{2\pi i j k / N}}_{\text{amplitude of } |k\rangle} |k\rangle \end{aligned}$$

- Special case: QFT of basis state:

$$|j'\rangle = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \quad a_j = \begin{cases} 0, & j \neq j' \\ 1, & j = j'. \end{cases}$$

So,

$$\varphi_k = \frac{1}{\sqrt{N}} e^{2\pi i j' k / N}$$

$$\text{QFT } |j'\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i j' k / N} |k\rangle \quad \text{for computational basis state } |j'\rangle$$

Renaming  $j \leftarrow j'$ .

- QFT with periodic amplitudes: let  $a_{j+r} = a_j$ .

For simplicity, assume  $\frac{N}{r} = \text{integer} = m$ .

$$\begin{aligned} \text{QFT } |\psi\rangle &= \sum_{k=0}^{N-1} \underbrace{\frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j e^{2\pi i j l / N}}_{\text{amplitude of } |k\rangle} |k\rangle \\ \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j e^{2\pi i j k / N} &= \frac{1}{\sqrt{N}} \left( \sum_{j=0}^{r-1} a_j e^{2\pi i j k / N} + \sum_{j=r}^{2r-1} a_j e^{2\pi i j k / N} + \dots + \sum_{j=N-r}^{N-1} a_j e^{2\pi i j k / N} \right) \\ &= \frac{1}{\sqrt{N}} \sum_{j=0}^{r-1} a_j e^{2\pi i j k / N} + \underbrace{a_{j+r}}_{=a_j} e^{2\pi i (j+r) k / N} + \dots + \underbrace{a_{j+N-r}}_{=a_j} e^{2\pi i (j+N-r) k / N} \\ &= \frac{1}{\sqrt{N}} \sum_{j=0}^{r-1} a_j e^{2\pi i j k / N} \left( 1 + e^{2\pi i r k / N} + \dots + e^{2\pi i (N-r) k / N} \right) \\ &= \frac{1}{\sqrt{N}} \sum_{j=0}^{r-1} a_j e^{2\pi i j k / N} \left( 1 + e^{2\pi i r k / N} + \dots + e^{\underbrace{2\pi i ((N/r) - 1) r k / N}_{=m}} \right) \\ &= \frac{1}{\sqrt{N}} \sum_{j=0}^{r-1} a_j e^{2\pi i j k / N} \underbrace{\left( 1 + e^{2\pi i r k / N} + \dots + e^{2\pi i (m-1) r k / N} \right)}_{(*)}. \end{aligned}$$

We want to show when  $(*)$  will be 0. This is a geometric series, and recall

$$1 + \omega + \omega^2 + \dots + \omega^{m-1} = \frac{\omega^m - 1}{\omega - 1} \quad \text{if } \omega \neq 1.$$

With  $\omega = e^{2\pi i r k / N}$ ,  $\omega^m = e^{\underbrace{2\pi i r N k / N}_{=N}} = e^{2\pi i k}$ .

Amplitude of  $|k\rangle$  is

$$\frac{1}{\sqrt{N}} \sum_{j=0}^{r-1} a_j e^{2\pi i j k / N} \left( \frac{e^{2\pi i k} - 1}{e^{2\pi i r k / N} - 1} \right).$$

The numerator = 0 for all  $k$ . So, amplitude of  $|k\rangle$  is 0 unless the denominator is 0. Therefore,  $e^{2\pi i r k / N} = 1$ .

From math, we have  $(e^a)^b = e^{ab}$  only if  $a$  is real or  $b$  is integer. So,  $\frac{rk}{N}$  is an integer.

**how to design QFT circuit?** Recall that

$$\text{QFT} |j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i j k / N} |k\rangle$$

- Single qubit,  $N = 2$ , basis states

$$\text{QFT} |0\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

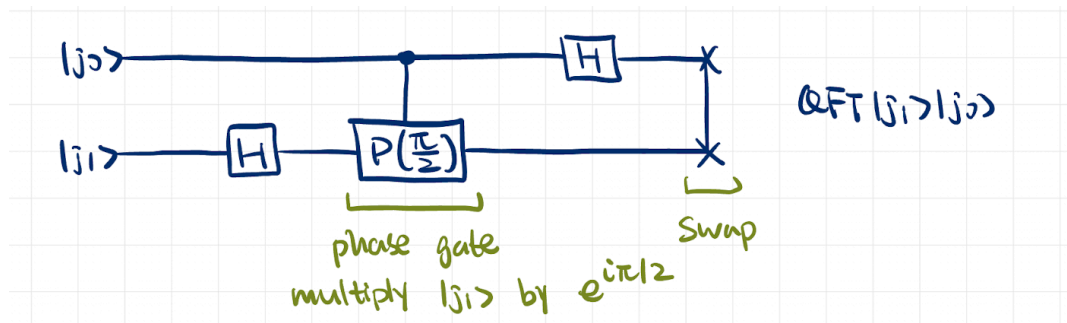
$$\text{QFT} |1\rangle = \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i / 2} |1\rangle) + \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$$

So,  $\text{QFT} = H$ .

- For 2 qubits,  $N = 4$ .

$$\text{QFT} |0\rangle = \frac{1}{2}(|0\rangle + |1\rangle + |2\rangle + |3\rangle) = \frac{1}{2}(|0\rangle + |1\rangle)(|0\rangle + |1\rangle)$$

$$\text{QFT} |1\rangle = \frac{1}{2}(|0\rangle - |1\rangle)(|0\rangle + i|1\rangle).$$



## 10 Quantum Phase Estimation (QPE)

Recall quantum Fourier transformation:

$$\text{QFT } |j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i j k / N} |k\rangle.$$

- With 2 qubits,  $N = 4$ ,

$$\text{QFT } |0\rangle = |00\rangle = \frac{1}{2}(|0\rangle + |1\rangle + |2\rangle + |3\rangle) = \frac{1}{2}(|0\rangle + |1\rangle)(|0\rangle + |1\rangle)$$

$$\begin{aligned} \text{QFT } |1\rangle = |01\rangle &= \frac{1}{2} \sum_{k=0}^3 e^{2\pi i k / 4} |k\rangle = \frac{1}{2}(|0\rangle + i|1\rangle - |2\rangle - i|3\rangle) \\ &= \frac{1}{2}(|0\rangle - |1\rangle)(|0\rangle + i|1\rangle) \end{aligned}$$

$$\begin{aligned} \text{QFT } |2\rangle = |10\rangle &= \frac{1}{2} \sum_{k=0}^3 e^{\pi i k} |k\rangle = \frac{1}{2}(|0\rangle - |1\rangle + |2\rangle - |3\rangle) \\ &= \frac{1}{2}(|0\rangle + |1\rangle)(|0\rangle - |1\rangle) \end{aligned}$$

$$\begin{aligned} \text{QFT } |3\rangle = |11\rangle &= \frac{1}{2} \sum_{k=0}^3 e^{\frac{3\pi}{2} i k} |k\rangle = \frac{1}{2}(|0\rangle - i|1\rangle - |2\rangle + i|3\rangle) \\ &= \frac{1}{2}(|0\rangle - |1\rangle)(|0\rangle - i|1\rangle) \end{aligned}$$

$$\text{QFT } |j\rangle = |j_1 j_0\rangle = \frac{1}{2}(|0\rangle + e^{\pi i j_0} |1\rangle) \left( |0\rangle + e^{\pi i \left( j_1 + \frac{j_0}{2} \right)} |1\rangle \right)$$

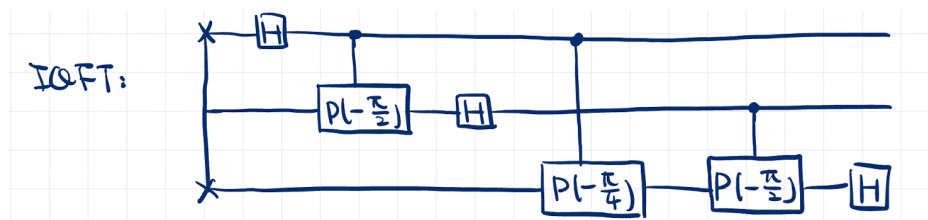
- Extrapolate to 3-qubit case:

$$\text{QFT } |j_2 j_1 j_0\rangle = \frac{1}{2\sqrt{2}} (|0\rangle + e^{\pi i j_0} |1\rangle) \left( |0\rangle + e^{\pi i \left( j_1 + \frac{j_0}{2} \right)} |1\rangle \right) \left( |0\rangle + e^{\pi i \left( j_2 + \frac{j_1}{2} + \frac{j_0}{4} \right)} |1\rangle \right).$$

- Inverse QFT: Reverse order of gates and replace with inverses.

$$H^{-1} = H, \quad P(\theta) = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\theta} \end{pmatrix} \implies [P(\theta)]^{-1} = [P(\theta)]^\dagger = P(-\theta).$$

For 3 qubits,



**Lemma 10.1 :** Eigenvalues of unitary matrices have norm-square of 1.

**Proof 1.** Let  $U |v\rangle = \lambda |v\rangle$ . So,

$$\langle v | U^\dagger = \lambda^* \langle v |.$$

Inner product of the two equalities:

$$\begin{aligned} \langle v | \underbrace{U^\dagger U}_{=I} |v\rangle &= \lambda^* \lambda \langle v |v\rangle \\ \langle v |v\rangle &= |\lambda|^2 \langle v |v\rangle \\ |\lambda|^2 &= 1. \end{aligned}$$

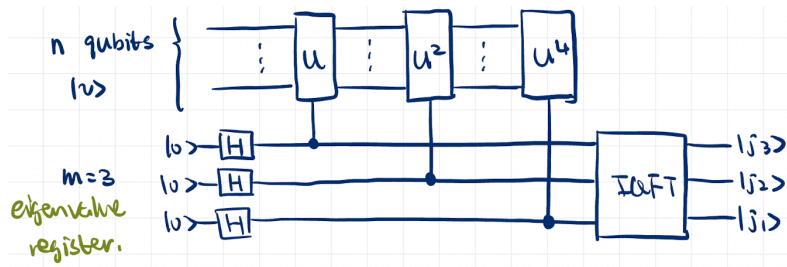
Q.E.D. ■

**Corollary 10.2 :** So,  $\lambda$  can be written as  $e^{i\theta}$ .

### 10.1 The Problem

Given  $U$  ( $2^n \times 2^n$ ) and  $|v\rangle$  (with  $n$  qubits), we want to find  $\lambda = e^{i\theta}$ .

To estimate  $\theta$  to  $m$  bits, we need  $m$  additional qubits. Choose  $m = 3$ .



- After  $H$ 's,  $\frac{1}{2\sqrt{2}}(|0\rangle + |1\rangle)(|0\rangle + |1\rangle)(|0\rangle + |1\rangle) |v\rangle$ .
- Since  $U |v\rangle = e^{i\theta} |v\rangle$ , controlled  $U$  multiplies by  $e^{i\theta}$  if control is 1.
  1. After controlled  $U$ :  $\frac{1}{2\sqrt{2}}(|0\rangle + |1\rangle)(|0\rangle + |1\rangle)(|0\rangle + e^{i\theta} |1\rangle) |v\rangle$
  2. After controlled  $U^2$ :  $\frac{1}{2\sqrt{2}}(|0\rangle + |1\rangle)(|0\rangle + e^{2i\theta} |1\rangle)(|0\rangle + e^{i\theta} |1\rangle) |v\rangle$
  3. After controlled  $U^4$ :  $\frac{1}{2\sqrt{2}}(|0\rangle + e^{4i\theta} |1\rangle)(|0\rangle + e^{2i\theta} |1\rangle)(|0\rangle + e^{i\theta} |1\rangle) |v\rangle$ .
- For  $\lambda = e^{i\theta}$ ,

$\lambda$	$\theta$	$j = \frac{\theta}{2\pi}$
1	0	0
-1	$\pi$	$\frac{1}{2}$

We will represent  $\hat{j} = (0.j_1j_2j_3)_2 = \frac{j_1}{2} + \frac{j_2}{4} + \frac{j_3}{8}$ . Substitute, we have

$$\theta = 2\pi j = 2\pi \left( \frac{j_1}{2} + \frac{j_2}{4} + \frac{j_3}{8} \right).$$

- Hence,

$$\begin{aligned}
 e^{i\theta} &= e^{2\pi i(j_1/2+j_2/4+j_3/8)} = e^{\pi i(j_1+j_2/2+j_3/4)} \\
 e^{2i\theta} &= e^{2i\theta} = e^{\pi i(2j_1+j_2+j_3/2)} = \underbrace{e^{2\pi i j_1}}_{=1} e^{\pi i(j_2+j_3/2)} = e^{\pi i(j_2+j_3/2)} \\
 e^{4i\theta} &= e^{4i\theta} = e^{\pi i(4j_1+2j_2+j_3)} = \underbrace{e^{4\pi i j_1}}_{=1} \underbrace{e^{2\pi i j_2}}_{=1} e^{\pi i j_3} = e^{\pi i j_3}.
 \end{aligned}$$

So, the qubit is

$$\frac{1}{2\sqrt{2}} \underbrace{\left( |0\rangle + e^{\pi i j_3} |1\rangle \right) \left( |0\rangle + e^{\pi i(j_2+j_3/2)} |1\rangle \right) \left( |0\rangle + e^{\pi i(j_1+j_2/2+j_3/4)} |1\rangle \right)}_{=QFT|j_1 j_2 j_3\rangle |v\rangle} |v\rangle$$

- The final state is

$$|j_1\rangle |j_2\rangle |j_3\rangle |v\rangle,$$

where  $j = \frac{j_1}{2} + \frac{j_2}{4} + \frac{j_3}{8} \implies \theta = 2\pi j \implies \lambda = e^{i\theta}$ .

**What if  $U$  has two eigenvectors?** If input to quantum phase estimation (QPE) circuit is

$$|00 \dots 0\rangle \underbrace{(\alpha |v_1\rangle + \beta |v_2\rangle)}_{\text{eigenvector register}},$$

then the output before measurement if

$$\alpha |j_1 j_2 \dots j_m\rangle |v_1\rangle + \beta |j'_1 j'_2 \dots j'_n\rangle |v_2\rangle,$$

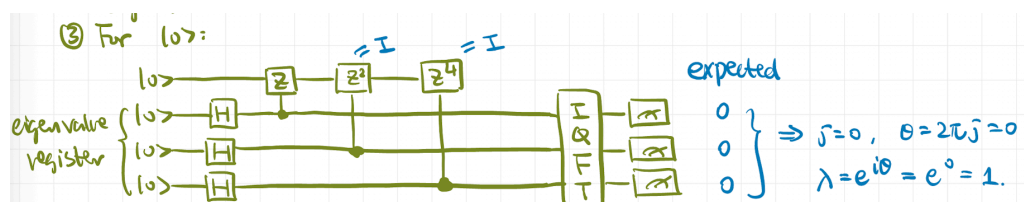
where the probability of getting the two eigenvectors are  $|\alpha|^2$  and  $|\beta|^2$ , respectively,  $|j_1 j_2 \dots j_m\rangle$  is the eigenvalue for  $|v_1\rangle$ , and  $|j'_1 j'_2 \dots j'_n\rangle$  is the eigenvalue for  $|v_2\rangle$ .

**Example 10.1.1 Find Eigenvalues of  $Z$**

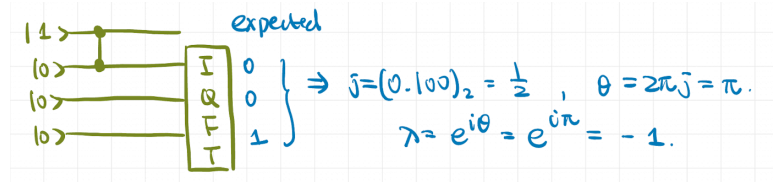
- $Z$  acts on 1 qubit, so  $|v\rangle$  is  $q$  qubit:

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Eigenvectors are  $|0\rangle$  and  $|1\rangle$ .
- For  $|0\rangle$ :



- For  $|1\rangle$ :



## 11 Order Finding and Shor's Algorithm

### 11.1 Order Finding Problems

**Definition 11.1.1 (Modulo Arithmetic).**

$$a \bmod b = \text{remainder when } a \text{ is divided by } b.$$

**Example 11.1.2**

$15 \bmod 12 = 3$ . Sometimes, we also write  $15 \equiv 3 \pmod{12}$  or  $15 \equiv 27 \pmod{12}$  to indicate that the both sides have the same remainder.

### Modular Exponentiation and Order

$$2^0 \bmod 7 = 1$$

$$2^1 \bmod 7 = 2$$

$$2^2 \bmod 7 = 4$$

$$2^3 \bmod 7 = 1$$

$$2^4 \bmod 7 = 2$$

$$2^5 \bmod 7 = 4$$

$$2^6 \bmod 7 = 1$$

Pattern repeats with period  $r = 3$ . This is called the *order* of  $2 \bmod 7$ . It is also the smallest positive  $r$  such that

$$2^r \bmod 7 = 1.$$

**Order Finding Circuit:** Uses modular multiplication operator

$$U |y\rangle = |ay \bmod N\rangle.$$

We want to find the order  $r$  of  $a \bmod N$ .

**Lemma 11.3:** Eigenvalues of  $U$  are

$$|v_s\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-2\pi i s k / r} |a^k \bmod N\rangle$$

for  $s = 0, 1, \dots, r - 1$  with eigenvalues  $e^{2\pi i s / r}$ .

[Recall in QPE:  $e^{2\pi i j}$ .]

**Proof 1.**

$$\begin{aligned} U |v_s\rangle &= \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{2\pi i s k / r} |a^{k+1} \pmod N\rangle \\ &= \frac{1}{\sqrt{r}} \left( \sum_{k=0}^{r-2} e^{-2\pi i s k / r} |a^{k+1} \pmod N\rangle + e^{-2\pi i s (r-1) / r} |a^k \pmod N\rangle \right). \end{aligned}$$

Simplify the final term:

- $e^{-2\pi i s (r-1) / r} = e^{-2\pi i s r / k} e^{2\pi i s / r} = e^{2\pi i s / r}$ .
- $|a^k \pmod N\rangle = |a^0 \pmod N\rangle = |1\rangle$ .

So,

$$\begin{aligned} U |v_s\rangle &= \frac{1}{\sqrt{r}} \left( \sum_{k=0}^{r-2} e^{-2\pi i s k / r} |a^{k+1} \pmod N\rangle + \underbrace{e^{-2\pi i s (-1) / r} |a^{-1+1} \pmod N\rangle}_{k=-1} \right) \\ &= \frac{1}{\sqrt{r}} \sum_{k=-1}^{r-1} e^{-2\pi i s k / r} |a^{k+1} \pmod N\rangle \\ &= \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-2\pi i s (k-1) / r} |a^k \pmod N\rangle \\ &= e^{2\pi i s / r} \underbrace{\frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-2\pi i s k / r} |a^k \pmod N\rangle}_{|v_s\rangle} \\ &= \underbrace{e^{2\pi i s / r}}_{\lambda} |v_s\rangle \end{aligned}$$

Q.E.D. ■

**Lemma 11.4 :** Equally weighted superposition of  $|v_s\rangle$ ,

$$\frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |v_s\rangle,$$

is  $|1\rangle = |0 \cdots 01\rangle$ .

**Proof 2.**

$$\begin{aligned} \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |v_s\rangle &= \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-2\pi i s k / r} |a^k \pmod N\rangle \\ &= \frac{1}{r} \sum_{k=0}^{r-1} |a^k \pmod N\rangle \sum_{s=0}^{r-1} e^{-2\pi i s k / r}. \end{aligned}$$

Look at the latter sum:

- When  $k = 0$ ,

$$\sum_{s=0}^{r-1} e^{-2\pi i s k / r} = \sum_{s=0}^{r-1} 1 = r.$$

- When  $k \neq 0$ , consider the geometric sum

$$\sum_{s=0}^{r-1} \omega^s = \frac{\omega^r - 1}{\omega - 1}.$$

Take  $\omega = e^{-2\pi i s k / r}$ :

$$\begin{aligned} \sum_{s=0}^{r-1} e^{-2\pi i s k / r} &= \frac{(e^{-2\pi i s k / r})^r - 1}{e^{-2\pi i s k / r} - 1} \\ &= \frac{e^{-2\pi i s k} - 1}{e^{-2\pi i s k / r} - 1} \\ &= \frac{1 - 1}{e^{-2\pi i s k / r} - 1} = 0. \end{aligned}$$

So,

$$\begin{aligned} \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |v_s\rangle &= \frac{1}{r} (r |a^k \pmod N\rangle + 0) \\ &= 1 \cdot \underbrace{|a^0 \pmod N\rangle}_{=1} \\ &= 1. \end{aligned}$$

Q.E.D. ■

**To Find Order  $r$  :**

- Use phase estimation circuit
- Initialize eigenvector register to  $|00 \dots 01\rangle =$  superposition of eigenvectors of  $U$ .
- Recall eigenvalue  $e^{i\theta} = e^{2\pi i j} = e^{2\pi i s / r}$ .
- Measurement yields  $j \approx \frac{s}{r}$ , each  $s$  equally likely with a probability of  $\frac{1}{r}$ .
- If  $\frac{s}{r}$  is non-determinating ( $\frac{1}{3}$ ,  $\frac{5}{6}$ , etc. i.e., they have no binary representation), we will use continuing fraction expansion.
  1. For base 2, only when  $r$  is a power of 2, we have determinating fractions.
  2. For base 10, we require  $r$  to be a combination of power of 2 and power of 5.

**Example 11.1.5 Continuing Fraction Expansion**

We want to determine the order of  $3 \pmod{7}$ , with 5 qubits in the eigenvalue register. We get the following results, each with  $\frac{1}{6}$  probability:

$$\begin{aligned} |00000\rangle &\rightarrow \frac{s}{r} = 0 \quad \text{unhelpful} \\ |00101\rangle &\rightarrow (0.00101)_2 = \frac{1}{8} + \frac{1}{32} = \frac{5}{32} \approx \frac{s}{r} \\ |01011\rangle &\rightarrow (0.01011)_2 = \frac{11}{32} \approx \frac{s}{r} \\ |10000\rangle &\rightarrow (0.10000)_2 = \frac{1}{2} = \frac{s}{r} \\ |10101\rangle &\rightarrow (0.10101)_2 = \frac{21}{32} \approx \frac{s}{r} \\ |11011\rangle &\rightarrow (0.11011)_2 = \frac{27}{32} \approx \frac{s}{r}. \end{aligned}$$

- For  $\frac{s}{r} = \frac{1}{2}$ , guess  $r = 2$ . Test  $3^2 \pmod{7} = 2 \implies r \neq 2$ . We could continue guessing, but it is more helpful to use continuous fraction expansion.
- For  $\frac{s}{r} \approx \frac{5}{32}$ :

1. What we will do is flip and split:

$$\frac{5}{32} = \frac{1}{\frac{32}{5}} = \frac{1}{6 + \frac{2}{5}} = \frac{1}{6 + \frac{1}{\frac{5}{2}}} = \frac{1}{6 + \frac{1}{2 + \frac{1}{2}}}$$

2. Find “convergents” (drop proper fractions):

$$0, \frac{1}{6}, \frac{1}{6 + \frac{1}{2}} = \frac{2}{13}, \frac{5}{32}$$

3. Find the best guess for  $r$ : Since we are looking for the order of  $3 \pmod{7}$ , the order cannot be  $> 7$ .
4. The best guess for  $r$  is the biggest denominator  $< 7$ .
5. Try  $\frac{s}{r} = \frac{1}{6}$ . Guess  $r = 6$ . Test

$$3^6 \pmod{7} = 1 \implies r = 6 \quad \checkmark$$

- For  $\frac{s}{r} \approx \frac{11}{32}$ ,

$$\frac{11}{32} = \frac{1}{\frac{32}{11}} = \frac{1}{2 + \frac{10}{11}} = \frac{1}{2 + \frac{1}{\frac{11}{10}}} = \frac{1}{2 + \frac{1}{1 + \frac{1}{10}}}$$

Convergents  $0, \frac{1}{2}, \frac{1}{2+1} = \frac{1}{3}, \frac{11}{32}$ .

guess  $\frac{s}{r} = \frac{1}{3}$ . Try  $s = 1$  and  $r = 3$  and test

$$3^3 \pmod{7} \neq 1.$$

So, it should be  $s = 2$  and  $r = 6$ .

**Problem:** If the number has many digits, how would we know if we should multiply the guess by how many times?

**Solution:** Use a new circuit.

Suppose  $\frac{3}{r} = \frac{1}{3} = \frac{2}{6} = \frac{3}{9} = \dots$ . Define  $r = 3r'$ . Then,

$$3^d \pmod{7} = (3^3)^{r'} \pmod{7} = 27^{r'} \pmod{7}.$$

Then, we make a new order finding circuit to find the order of  $27 \pmod{7}$ . We will get  $r' = 2$ . Then,  $r = 3r' = 6$ . We might need to repeat this process several times.

## 11.2 Shor's Algorithm

To break RSA encryption, we need to factor  $N = pq$ .

- Pick any number  $a$ ,  $1 < a < N$ , co-prime with  $N$ .
- Find order  $r$  of  $a \pmod{N}$  (phase estimation circuit).
  1. If  $r$  is odd, pick another  $a$ .
  2. If  $a^{r/2} \pmod{N} = N - 1$ , pick another  $a$ .
- Since  $a^r \pmod{N} = 1$ , we have

$$\begin{aligned} (a^r - 1) \pmod{N} &= 0 \\ (a^{r/2} - 1)(a^{r/2} + 1) \pmod{pq} &= 0. \end{aligned}$$

1. Is  $(a^{r/2} - 1)$  a multiple of  $pq = N$ ? No because if so, we get

$$(a^{r/2} - 1) \pmod{N} = 0 \implies a^{r/2} \pmod{N} = 1.$$

Then,  $r/2$  would be the order. We reach a contradiction.

2. Is  $(a^{r/2} + 1)$  a multiple of  $pq = N$ ? No, because if so, we get

$$\begin{aligned}(a^{r/2} + 1) \bmod N = 0 &\implies a^{r/2} = N - 1, 2N - 1, 3N - 1, \dots \\ &\implies a^{r/2} \bmod N = N - 1\end{aligned}$$

However, we have excluded such cases.

3. Conclusion:  $(a^{r/2} - 1)$  and  $(a^{r/2} + 1)$  must each be a multiple of a different factor of  $N$ . Use the concept of greatest common divisor (gcd):

$$p = \gcd(a^{r/2} - 1, N) \quad \text{and} \quad q = \gcd(a^{r/2} + 1, N).$$

### Example 11.2.1 Factor 15

• Pick  $a = 2$ :

1. Find the order of  $2 \bmod 15$ .
2. QPE gives  $r = 4$ .
3. Find the factor

$$\gcd(2^4 - 1, 15) = 3 \quad \text{and} \quad \gcd(2^4 + 1, 15) = 5.$$

• Pick  $a = 7$ :

1. Order of  $7 \bmod 15$  is also 4.
2. Find the factor:

$$\gcd(7^4 - 1, 15) = \gcd(48, 15) = 3$$

$$\gcd(7^4 + 1, 15) = \gcd(50, 15) = 5$$

3. Euclid's algorithm:

- Replace the larger one with their difference
- Repeat until we get the same number on both sides.

48	15	50	15
$48 - 15 = 33$	15	$50 - 15 = 35$	15
$33 - 15 = 18$	15	$35 - 15 = 20$	15
$18 - 15 = 3$	15	$20 - 15 = 5$	15
3	$15 - 3 = 12$	5	$15 - 5 = 10$
3	$12 - 3 = 9$	5	$10 - 5 = 5$
3	$9 - 3 = 6$	5	5
3	$6 - 3 = 3$		
3	3		

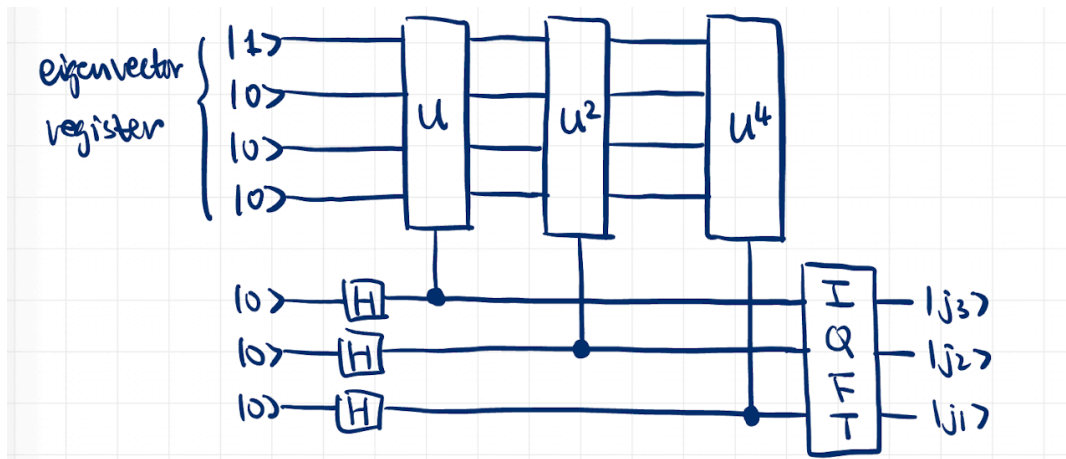
**Quantum Circuit:** Phase estimation circuit to factor 15

- Modular multiplication operator:

$$U |y\rangle = |ay \pmod{15}\rangle.$$

The only  $a$ 's that work for Shor's algorithm are 2, 4, 7, 8, 11, 13.

- Eigenvector register initialized to  $|0001\rangle =$  equally weighted superposition of eigenvectors of  $U$ . We need 4 qubits to count up to 14.
- Let's use 3 qubits for the eigenvalue register:



Measurement of eigenvalue register gives  $j = (0.j_1j_2j_3)_2 \approx \frac{s}{r}$ , and

$$\mathbf{P}\left(\frac{0}{r}\right) = \mathbf{P}\left(\frac{1}{r}\right) = \dots = \mathbf{P}\left(\frac{r-1}{r}\right) = \frac{1}{r}.$$

- If  $r = 2$ ,

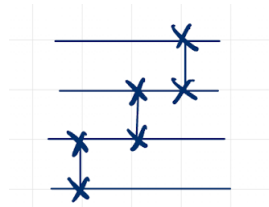
$$\frac{s}{r} = \frac{0}{2} \text{ or } \frac{1}{2} \implies j = (0.000)_2 \text{ or } (0.100)_2.$$

If  $r = 4$ ,

$$\frac{s}{r} = \frac{0}{4}, \frac{1}{4}, \frac{2}{4}, \frac{3}{4} \implies j = (0.000)_2, (0.010)_2, (0.100)_2, (0.110)_2$$

- What is  $U$  (Modular multiplication operator)?

1. From Monz (2018), but inverted to match IBM conventions: Multiply by 2 mod 15:

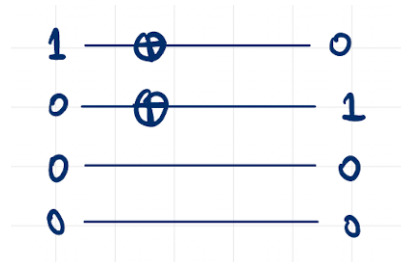


For example,  $|0001\rangle \rightarrow |0010\rangle$  and  $|0010\rangle \rightarrow |0100\rangle$ .

**Problem:** swap gate is not a small gate. We make the circuit very complicated, and error accumulates. The results can be bad.

2. Simplified multiply by 2 mod 15:

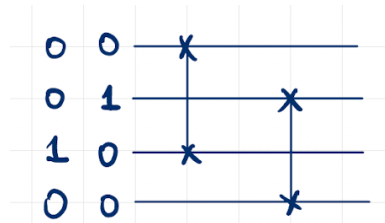
- Initial state is  $|0001\rangle$ , so the operator only needs to multiply  $|0001\rangle$  by 2.
- Since  $|0001\rangle \xrightarrow{\times 2} |0010\rangle$ , we get  $U$  is given by



- Multiply by  $2^2 \pmod{15} = 4$ , which is our  $U^2$ .

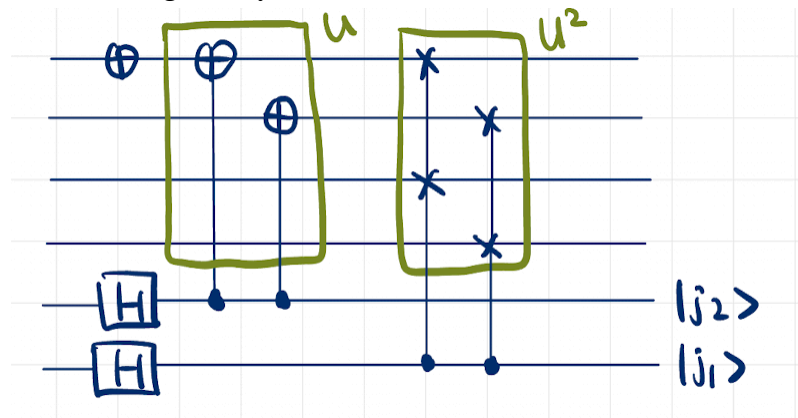
(a) After the first controlled  $U$ , the eigenvector register is in a superposition of  $|0001\rangle$  and  $|0010\rangle$ .

(b) Now, we need an operator that achieves both of the following:  $|0001\rangle \xrightarrow{\times 4} |0100\rangle$  and  $|0010\rangle \xrightarrow{\times 4} |0100\rangle$ .



- Multiply by  $2^4 \pmod{15} = 16 \pmod{15} = 1$ . Multiplication by 1 isn't doing anything. We don't need  $U^4$ .

- The simplified circuit is given by



**Example 11.2.2 Use Short's Algorithm to Factor 33**

- Pick  $a = 4$ .
- Find the order of  $4 \pmod{33}$ . The results are:

1.  $0000000: \frac{s}{r} = 0.$

2. 0011010:  $\frac{s}{r} \approx \frac{13}{64}$ . Use continuing fraction expansion:

$$\frac{13}{64} = \frac{1}{\frac{64}{13}} = \frac{1}{4 + \frac{12}{13}} = \frac{1}{4 + \frac{1}{\frac{13}{12}}} = \frac{1}{4 + \frac{1}{1 + \frac{1}{12}}}.$$

So, convergents are  $0, \frac{1}{4}, \frac{4+1}{5}, \frac{13}{64}$ .

Best guess for  $\frac{s}{r} = \frac{1}{5}$ . Try  $4^5 \bmod 33 = 1$  ✓.

However,  $r = 5$  is odd, and Shor's algorithm cannot be applied. So, we need to pick another  $a$ .

3. 0110011

4. 1001101

5. 1100110